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Introduction Initialization problem Carrier sensing Previous algorithms Nakano-Olariu solutions Cai-Lu-Wang the slot structure

# Initialization for Ad Hoc Radio Networks with Carrier Sensing and Collision Detection

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# 1 Introduction

## Initialization problem

- Carrier sensing
- Previous algorithms
- Nakano-Olariu solutions
- Cai-Lu-Wang the slot structure

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# Problem

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### Initialization problem

The initialization is a task of assigning to the *n* stations of the radio network distinct *ID* numbers in the range 1 to *n*.

▲ロ → ▲周 → ▲目 → ▲目 → □ → の Q ()



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Introduction Initialization problem Carrier sensing Previous algorithms Nakano-Olariu solutions Cai-Lu-Wang the slo structure

## 1 Introduction

Initialization problem

- Carrier sensing
- Previous algorithms
- Nakano-Olariu solutions
- Cai-Lu-Wang the slot structure



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Introduction Initialization problem Carrier sensing Previous algorithms Nakano-Olariu solutions Cai-Lu-Wang the slot structure

## Carrier sensing

1 we are able to sense the channel as either busy or idle

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Introduction Initialization problem Carrier sensing Previous algorithms Nakano-Olariu solutions Cai-Lu-Wang the slot structure

#### Carrier sensing

1 we are able to sense the channel as either busy or idle

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2 signal has a propagation delay



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Introduction Initialization problem Carrier sensing Previous algorithms Nakano-Olariu solutions Cai-Lu-Wang the slo structure

### Carrier sensing

1 we are able to sense the channel as either busy or idle

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- 2 signal has a propagation delay
- 3 IEEE 802.11



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#### Introduction Initialization probler Carrier sensing Previous algorithms Nakano-Olariu

Nakano-Olariu solutions Cai-Lu-Wang the slo

## 1 Introduction

Initialization problem

Carrier sensing

## Previous algorithms

- Nakano-Olariu solutions
- Cai-Lu-Wang the slot structure



## Introduction Previous randomized algorithms

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# Nakano-Olariu (2000) : without carrier sensing

- Known number of stations Time:  $2.718 \cdot n + O(\sqrt{n \log n})$
- Unknown number of stations Time:  $3.333 \cdot n + O(\sqrt{n \log n})$

Cai-Lu-Wang (2003) : with carrier sensing

- Known number of stations
- Unknown number of stations

Probability at least  $1 - \frac{1}{n}$ . Time complexity of Cai-Lu-Wang algorithms are better than of Nakano-Olariu ones and will be discussed later.



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Introduction Initialization probler Carrier sensing Previous algorithms

Nakano-Olariu solutions

Cai-Lu-Wang the slot structure

## 1 Introduction

Initialization problem

- Carrier sensing
- Previous algorithms
- Nakano-Olariu solutions
- Cai-Lu-Wang the slot structure

▲ロ → ▲周 → ▲目 → ▲目 → □ → の Q ()



# Nakano - Olariu algorithm

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Introduction Initialization proble Carrier sensing Previous algorithm

Nakano-Olariu solutions

Cai-Lu-Wang the slot structure





We should play  $2.718 \cdot n + O(\sqrt{n \log n})$  times if we want each station to transmit in some slot.



## Nakano - Olariu algorithm Unknown number of stations

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Nakano-Olariu solutions

Cai-Lu-Wang the slot structure

### Description of the algorithm

There are *n* stations. They are divided into groups. If only one station is in the group we called it a **winner**. If not, then each station from the group flips a coin with probability  $\frac{1}{2}$  and according to the result goes into a subgroup.



We should play  $3.333 \cdot n + O(\sqrt{n \log n})$  times if we want each station to win in some slot (with probability at least  $1 - \frac{1}{n}$ ).



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#### Introduction Initialization problem Carrier sensing Previous algorithms Nakano-Olariu solutions Cai-Lu-Wang the sk structure

## 1 Introduction

- Initialization problem
- Carrier sensing
- Previous algorithms
- Nakano-Olariu solutions
- Cai-Lu-Wang the slot structure

▲ロ → ▲周 → ▲目 → ▲目 → □ → の Q ()



## Cai-Lu-Wang (CLW) Divide slot into minislots



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Known number of stations Algorithm Analysis Comparison

Unknown number of stations Algorithm Analysis Comparison

# Known number of stations Algorithm

Analysis

Comparison

### 3 Unknown number of stations

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- Algorithm
- Analysis
- Comparison



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Unknown number of stations Algorithm Analysis Comparison Fix probability *p*. The following algorithm is executed in each slot.

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#### Basic idea

 each station with probability *p* chooses a random time X<sub>i</sub> at which point it will check the channel.

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Unknown number of stations Algorithm Analysis Comparison Fix probability *p*. The following algorithm is executed in each slot.

#### Basic idea

- each station with probability *p* chooses a random time X<sub>i</sub> at which point it will check the channel.
- 2 if the channel is **idle** then the station starts transmitting a short signal



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#### Basic idea

- each station with probability *p* chooses a random time X<sub>i</sub> at which point it will check the channel.
- 2 if the channel is **idle** then the station starts transmitting a short signal
- if there is no collision then the station transmits workload packet otherwise it stops transmission in the slot



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Unknown number of stations Algorithm Analysis Comparison Fix probability *p*. The following algorithm is executed in each slot.

#### Basic idea

- 1 each station with probability p chooses a random time  $X_i$  at which point it will check the channel.
- 2 if the channel is **idle** then the station starts transmitting a short signal
- if there is no collision then the station transmits workload packet otherwise it stops transmission in the slot
- go to the next slot with remaining stations



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- each station with probability *p* chooses a random time X<sub>i</sub> at which point it will check the channel.
- 2 if the channel is **idle** then the station starts transmitting a short signal
- if there is no collision then the station transmits workload packet otherwise it stops transmission in the slot

go to the next slot with remaining stations

What is the optimal probability  $p^*$ ?



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Known number of stations Algorithm Analysis Comparison

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# 2 Known number of stations

- Algorithm
- Analysis
- Comparison
- 3 Unknown number of stations

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- Algorithm
- Analysis
- Comparison



# Known number of stations Good configurations

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Unknown number of stations Algorithm Analysis Comparison The probability of successful transmission is equal

$$\Pr[(\exists 1 \leqslant i \leqslant n) (X_{(i)} - X_{(i-1)} > \delta, X_{(i+1)} - X_{(i)} > \delta)]$$

where  $X_i$  (for  $i \in [1, n]$ ) is a random time selected by station and  $\delta$  is the propagation delay.

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# Known number of stations Good configurations

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Known number of stations Algorithm Analysis Comparison

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where  $X_i$  (for  $i \in [1, n]$ ) is a random time selected by station and  $\delta$  is the propagation delay.

# How to calculate the probability?

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## Known number of stations Combinatorial classes

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## We consider a discretization of this problem:

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## Known number of stations Combinatorial classes

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Unknown number of stations Algorithm Analysis Comparison We consider a discretization of this problem:

We use the technology of combinatorial classes:

$$S(\circ) imes (ullet imes S_{< D}(\circ))^a imes (ullet imes S_{\geq D}(\circ))^2 imes (ullet imes S(\circ))^{n-2-a}$$

Its generating function is  $F_a(z) = \frac{(1-z^D)^a z^{2D} z^n}{(1-z)^{n+1}}$ . Binomial identities, Stirling numbers, going back to continuous model:



## Known number of stations Combinatorial classes

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We use the technology of combinatorial classes:

$$\mathbb{S}(\circ) imes (ullet imes \mathbb{S}_{< D}(\circ))^{\mathsf{a}} imes (ullet imes \mathbb{S}_{\geq D}(\circ))^2 imes (ullet imes \mathbb{S}(\circ))^{n-2-\mathsf{a}}$$

Its generating function is  $F_a(z) = \frac{(1-z^D)^a z^2 D z^n}{(1-z)^{n+1}}$ . Binomial identities, Stirling numbers, going back to continuous model:

#### Theorem

.

 $P[\text{success}] \approx 2(1-\delta)^n - (1-2\delta)^n$ 



# Known number of stations Adding flexibility

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Known number of stations Algorithm Analysis Comparison

Unknown number of stations Algorithm Analysis Comparison Now: each station transmits in a slot with probability  $p = \frac{a}{n}$ . Then

$$P[\text{success}] \geq 2(1 - \frac{\delta a}{n})^n - (1 - \frac{2\delta a}{n})^n - (1 - \frac{a}{n})^n.$$

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# Known number of stations Adding flexibility

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Unknown number of stations Algorithm Analysis Comparison Now: each station transmits in a slot with probability  $p = \frac{a}{n}$ . Then

$$P[\text{success}] \geq 2(1 - \frac{\delta a}{n})^n - (1 - \frac{2\delta a}{n})^n - (1 - \frac{a}{n})^n.$$

Using Chernoff bound we get

#### Theorem

If  $a \approx \ln(\frac{1}{2\delta^2}) - \ln \ln(\frac{1}{2\delta^2})$  then after  $\frac{1}{1-\delta^2}n + O(\sqrt{n \ln n})$  slots each station transmit with probability at least  $1 - \frac{1}{n}$ .

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Known number of stations Algorithm Analysis Comparison

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# 2 Known number of stations

- Algorithm
- Analysis
- Comparison
- 3 Unknown number of stations

◆□ ▶ ◆□ ▶ ◆□ ▶ ◆□ ▶ ● ● ● ● ●

- Algorithm
- Analysis
- Comparison



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Unknown number of stations Algorithm Analysis Comparison

#### Comparison

CLW: Cai-Lu-Wang algorithm CKZ: Cichon-Kutylowski-Zawada algorithm

$\lambda$	CLW (2003)	CKZ (2006)
0.000	01 1.0177 · <i>n</i>	1.00088 · <i>n</i>
0.000	1 1.0500 · <i>n</i>	1.00400 · <i>n</i>
0.001	1.1500 · <i>n</i>	1.01900 · <i>n</i>

Time complexity of the old solution of Nakamo and Olariu is  $2.781 \cdot n$ 

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Known number of stations Algorithm Analysis Comparison

Unknown number of stations Algorithm Analysis Comparison

## Known number of stations

- Algorithm
- Analysis
- Comparison
- 3 Unknown number of stations

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- Algorithm
- Analysis
- Comparison



# Unknown number of stations Sketch of algorithm

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Unknown number of stations Algorithm Analysis Comparison

# Fix probability *p*.

#### Basic idea

- 1 all stations flip a coin with probability of success p
- 2 we repeat round (1) until all stations fail
- stations from last but one round we call winners, they use the strategy from our previous algorithm

4 go back to (1) with remaining stations

What is the optimal probability  $p^*$ ?





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# Sketch of the algorithm



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## Known number of stations

- Algorithm
- Analysis
- Comparison

#### 3 Unknown number of stations

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- Algorithm
- Analysis
- Comparison



## Unknown number of stations Number of winners

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#### Theorem

Let Y(n) be random variable denoting the number of winners, where n is the number of stations. Then

$$E[Y(n)] = \frac{n(1-p)}{p\ln(1/p)} \left( \frac{1}{n} + 2\sum_{k=1}^{\infty} \Re[B(n, 1 + \frac{2k\pi i}{\ln(p)})] \right)$$

where

$$B(n,z) = rac{\Gamma(n)\Gamma(z)}{\Gamma(n+z)}.$$

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## Unknown number of stations Number of rounds

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#### Theorem

Let T(n) be a random variable denoting the number of rounds such that the number of winners becomes 0, when we start with n stations. Then

$$\mathbf{E}[T(n)] = \frac{1}{2} + \frac{H_n}{\log(1/p)} + \frac{2}{\log(1/p)} \sum_{k=1}^{\infty} \Re \left[ B\left(n+1, \frac{2k\pi i}{\log(p)}\right) \right]$$

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where  $H_n = \sum_{k=1}^n \frac{1}{k}$  is the n-th harmonic number.



# Unknown number of stations Number of wasted slots

 $p \underset{k=1}{\underline{\frown}}$ 

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#### Theorem

Let L(Y(n)) be the number of additional slots in the second part of the stage. Then  $E[L(Y(n))]\frac{\ln(1/p)}{n(1-p)}$  equals

$$\frac{1}{n(p-\delta)} - \frac{1}{pn} + \frac{2}{p-\delta} \sum_{k=1}^{\infty} \Re\left(\left(\frac{1-\delta}{p-\delta}\right)^{\frac{2\pi i}{\ln(p)}} B(n, 1 + \frac{2k\pi i}{\ln(p)})\right) + \frac{2}{p} \sum_{k=1}^{\infty} \Re\left(B(n, 1 + \frac{2k\pi i}{\ln(p)})\right).$$

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# Unknown number of stations Upper approximation

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Let 
$$H(n) = T(n) + L(Y(n))$$
. Then  
 $C(p, \delta, U) = \max_{m \le U} \frac{1}{E[Y(m)]} \cdot \max_{m \le U} E[H(m)]$ 

#### Theorem

Let U be an upper bound on a number of stations. Then the total number of slots is bounded by

 $(1 + C(p, \delta, U)) \cdot n.$ 

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# Unknown number of stations Upper approximation on C

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### Theorem

$$C(p,\delta,U) \leq rac{1}{\psi(p)} \left( W(\delta,p,U) + rac{1}{2} + rac{H_U}{\ln(1/p)} 
ight)$$

#### where

1 
$$\psi(p) = \frac{1-p}{p\ln(1/p)} \left( 1 - 2\sqrt{\frac{2\pi^2}{\ln(1/p)\sinh(2\pi^2/\ln(1/p))}} \right),$$
  
2  $W(\delta, p, U) = \max_{m \le U} E[L(Y(m))].$ 

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Known number of stations Algorithm Analysis Comparison

Unknown number of stations Algorithm Analysis Comparison

## Known number of stations

- Algorithm
- Analysis
- Comparison

#### 3 Unknown number of stations

◆□ ▶ ◆□ ▶ ◆□ ▶ ◆□ ▶ ● ● ● ● ●

- Algorithm
- Analysis
- Comparison



## Unknown number of stations Comparison with simulations

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Unknown number of stations Algorithm Analysis Comparison Let  $\mathcal{C}(p^*, \delta, U)) = \min_{\rho} \mathcal{C}(\rho, \delta, U).$ 

Table: Results for  $\delta = 0.001$ 

U	<i>p</i> *	$(1 + \mathcal{C}(p^*, \delta, U)) \cdot n$	simulations
100	0.037678	1.3271 · <i>n</i>	1.3168 · <i>n</i>
1000	0.0267521	1.3998 · <i>n</i>	1.3398 · <i>n</i>
10000	0.0232507	1.4677 · <i>n</i>	1.3482 · <i>n</i>

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#### Corollary

Our estimation  $C(p, \delta, U)$  is very precise.



## Unknown number of stations Simulations



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# Simulation results





## CKZ solution Comparison with Cai-Lu-Wang algorithm

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### Comparison

CLW: Cai-Lu-Wang algorithm CKZ: Cichon-Kutylowski-Zawada algorithm

#### Table: Results for $\lambda = 0.005$

U	<i>p</i> *	CLW 2003	CKZ 2006
100	0.0423848	≤ 1.6162 · <i>n</i>	≤ 1.5927 · <i>n</i>
1000	0.0267521	≤ 1.7497 · <i>n</i>	≤ 1.6381 · <i>n</i>
10000	0.0232507	≤ 1.9199 · <i>n</i>	≤ 1.7647 · <i>n</i>



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