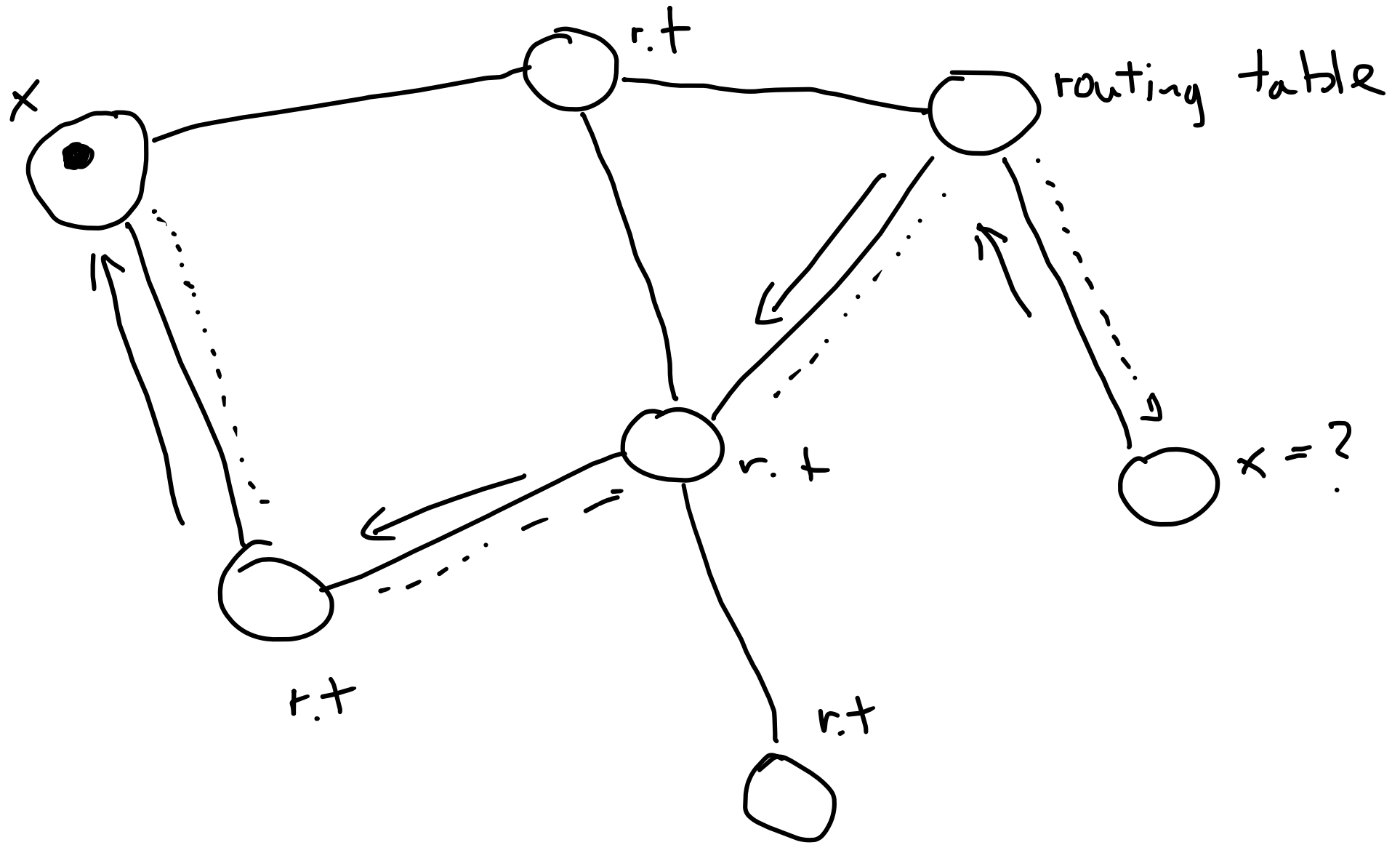


# Shared objects

Algo lecture



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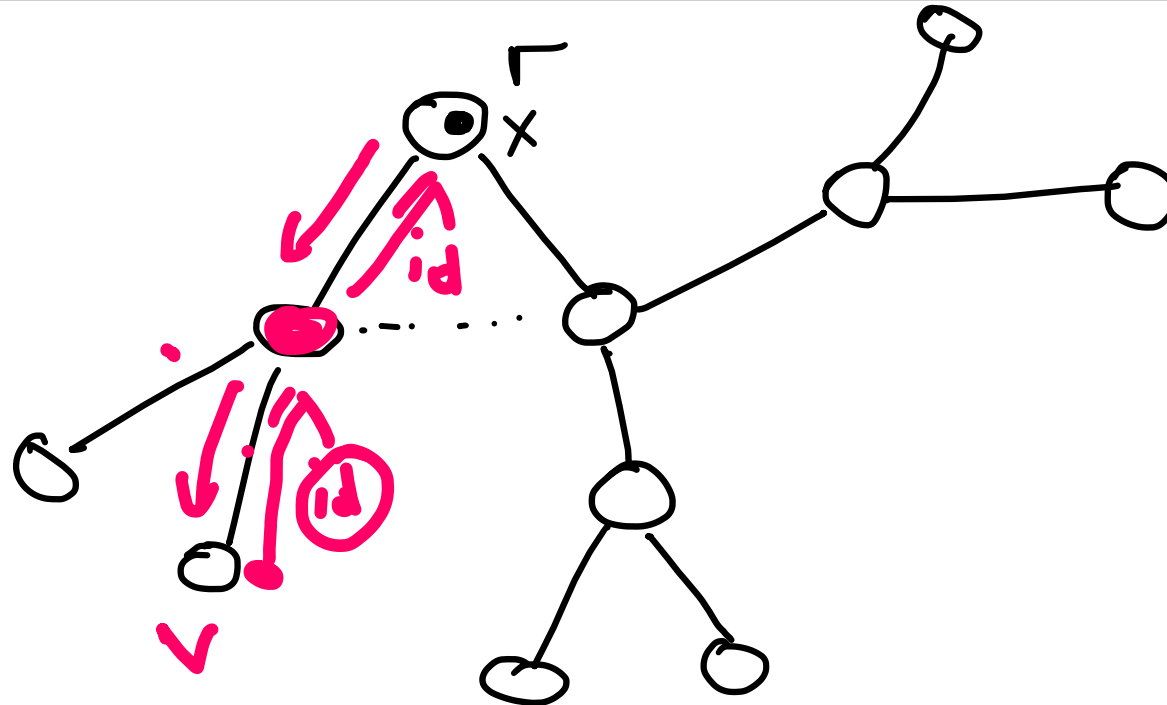
## Algorithm 6.1 Shared Object: Centralized Solution

---

**Initialization:** Shared object stored at root node  $r$  of a spanning tree of the network graph (i.e., each node knows its parent in the spanning tree).

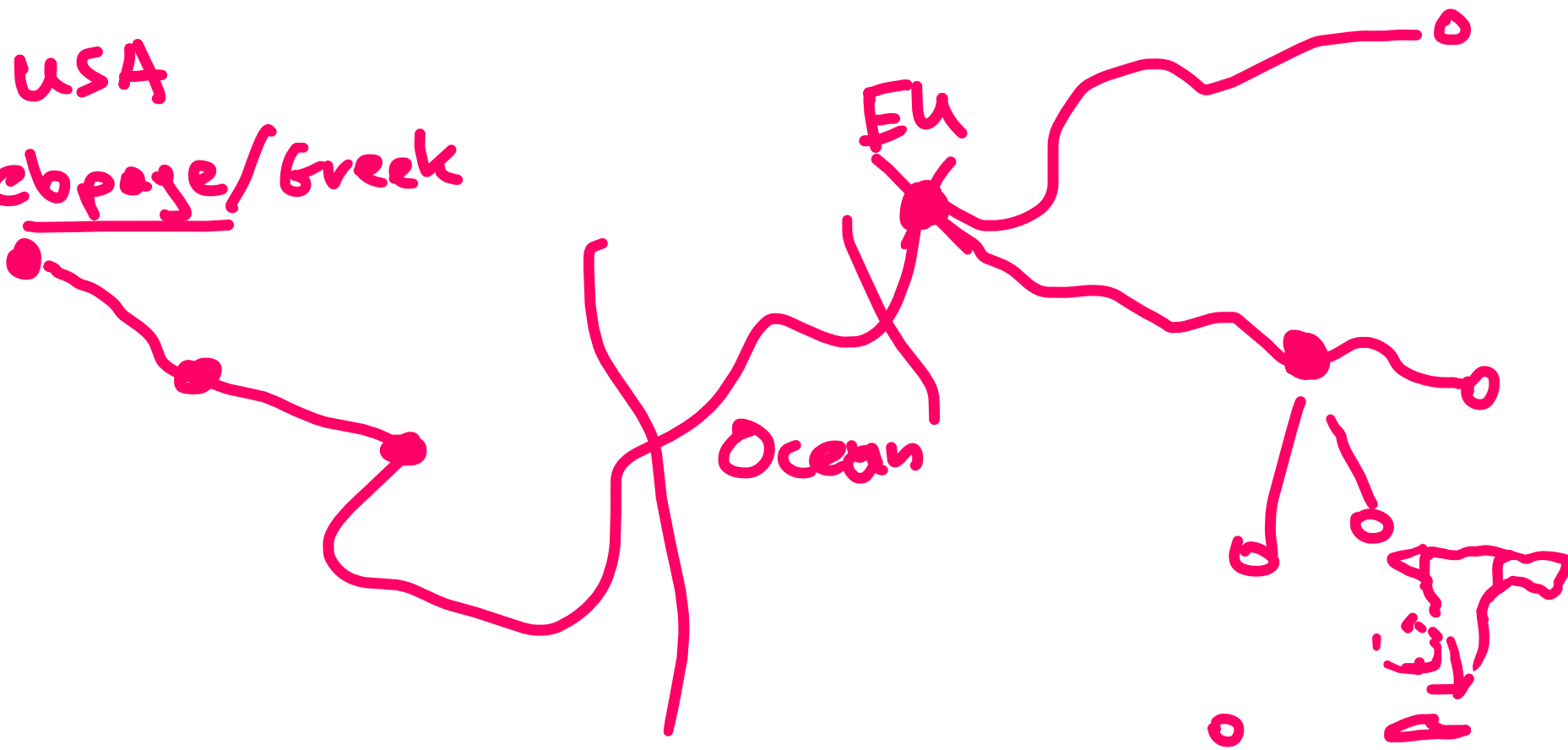
**Accessing Object:** (by node  $v$ )

- 1:  $v$  sends request up the tree
  - 2: request processed by root  $r$  (atomically)
  - 3: result sent down the tree to node  $v$
- 



# Internet

USA  
Webpage/Greek



---

**Algorithm 6.2 Shared Object: Home-Based Solution**

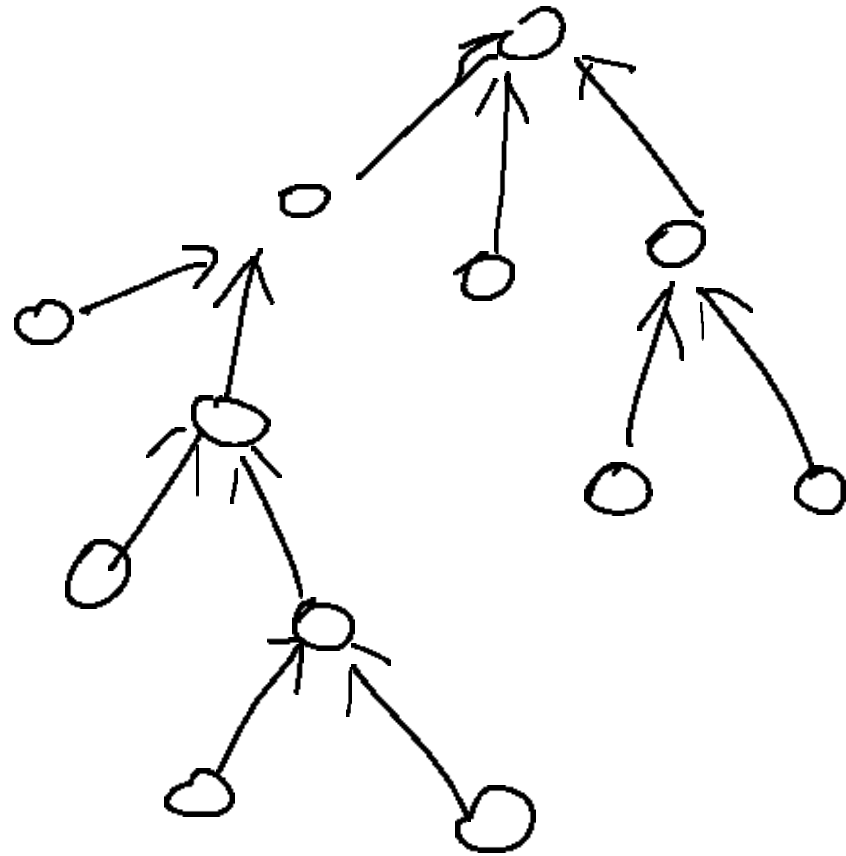
---

**Initialization:** An object has a home base (a node) that is known to every node. All requests (accesses to the shared object) are routed through the home base.

**Accessing Object:** (by node  $v$ )

- 1:  $v$  acquires a lock at the home base, receives object.
- 

webpage  $\leftrightarrow$  Home Server



spanning tree

Motto: "move object close to the users"

---

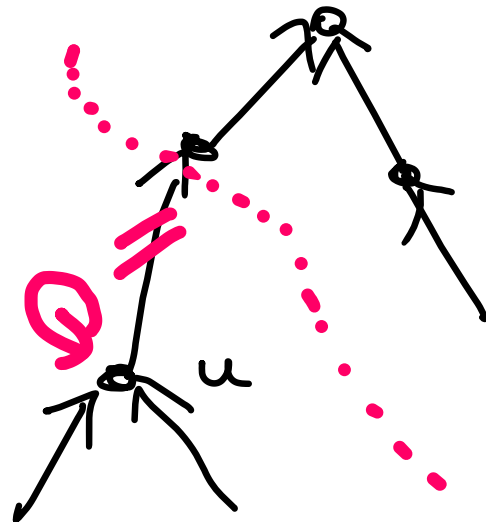
### Algorithm 6.3 Shared Object: Arrow Algorithm

---

**Initialization:** As for Algorithm 6.1, we are given a rooted spanning tree. Each node has a pointer to its parent, the root  $r$  is its own parent. The variable is initially stored at  $r$ . For all nodes  $v$ ,  $v.successor := null$ ,  $v.wait := false$ .

Start Find Request at Node  $u$ :

- 1: do atomically
- 2:  $u$  sends "find by  $u$ " message to parent node
- 3:  $u.parent := u$
- 4:  $u.wait := true$
- 5: end do



waiting

Upon  $w$  Receiving “Find by  $u$ ” Message from Node  $v$ :

6: do atomically

7: if  $w.parent \neq w$  then

8:  $w$  sends “find by  $u$ ” message to parent

9:  $w.parent := v$

10: else

11:  $w.parent := v$

12: if not  $w.wait$  then

13: send variable to  $u$  //  $w$  holds var. but does not need it any more

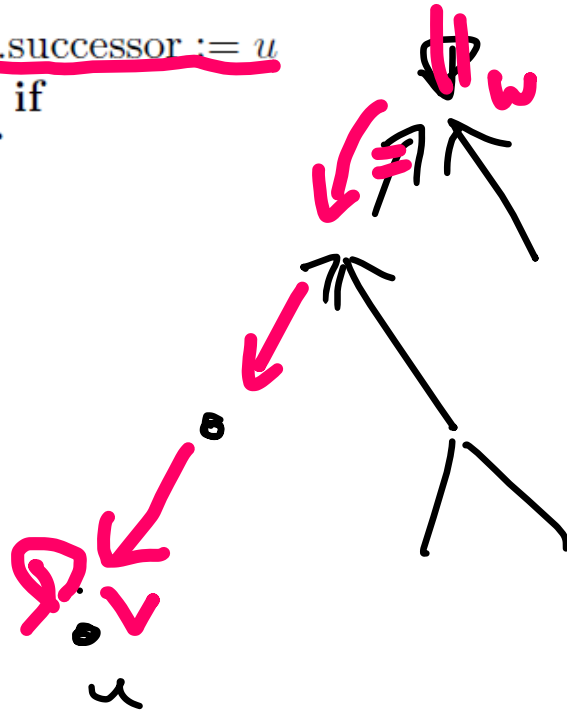
14: else

15:  $w.successor := u$  //  $w$  will send variable to  $u$  a.s.a.p.

16: end if

17: end if

18: end do



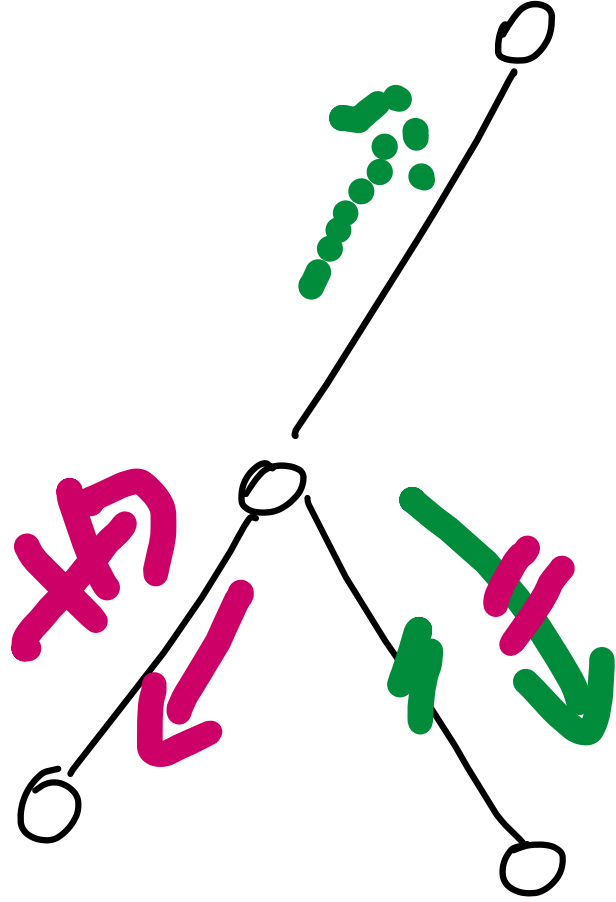
root

$u$  waiting

$u_1$  waiting for  $u$

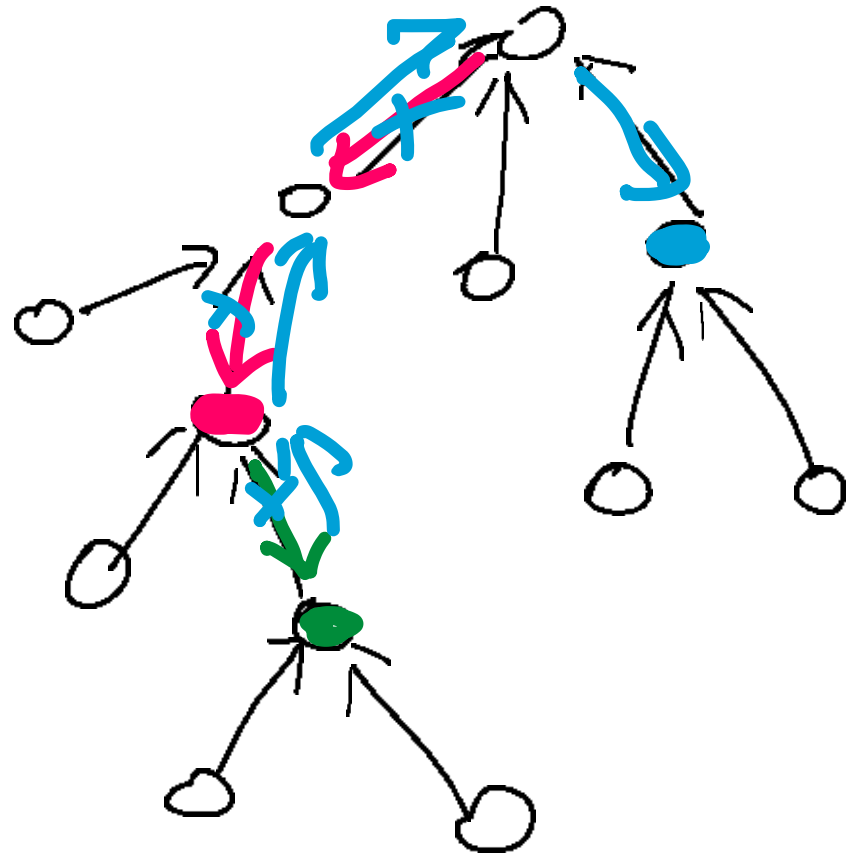
$u_2$  waiting for  $u_1$







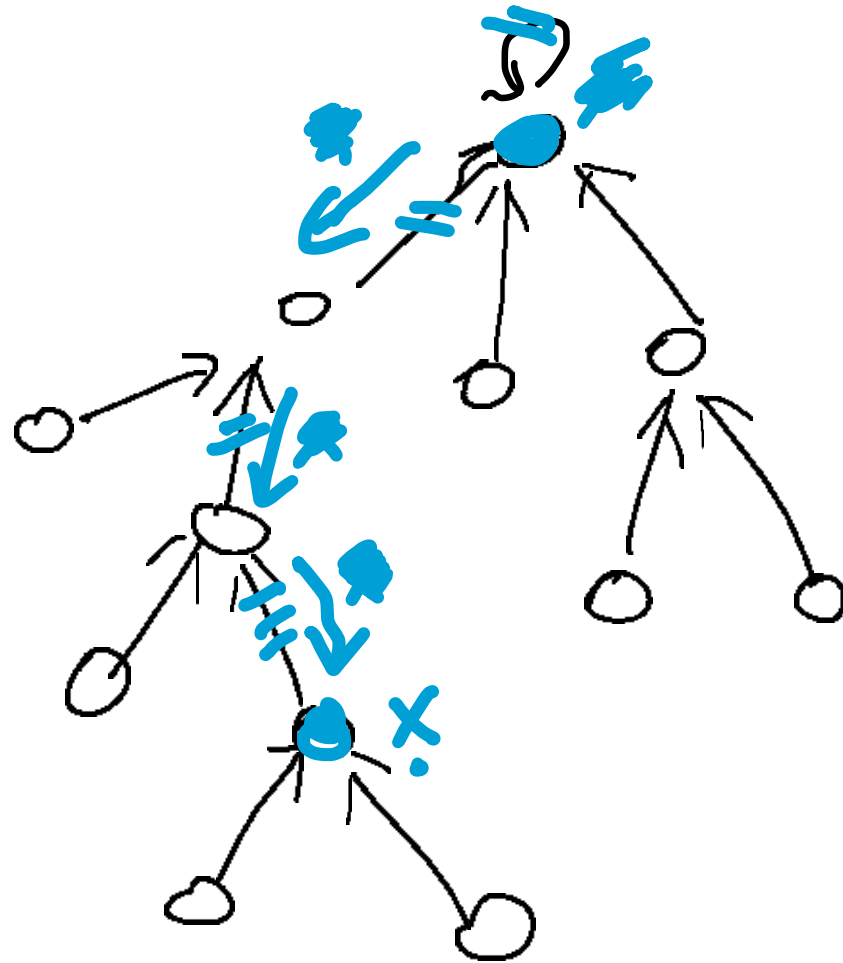
# Arrow algorithm



spanning tree

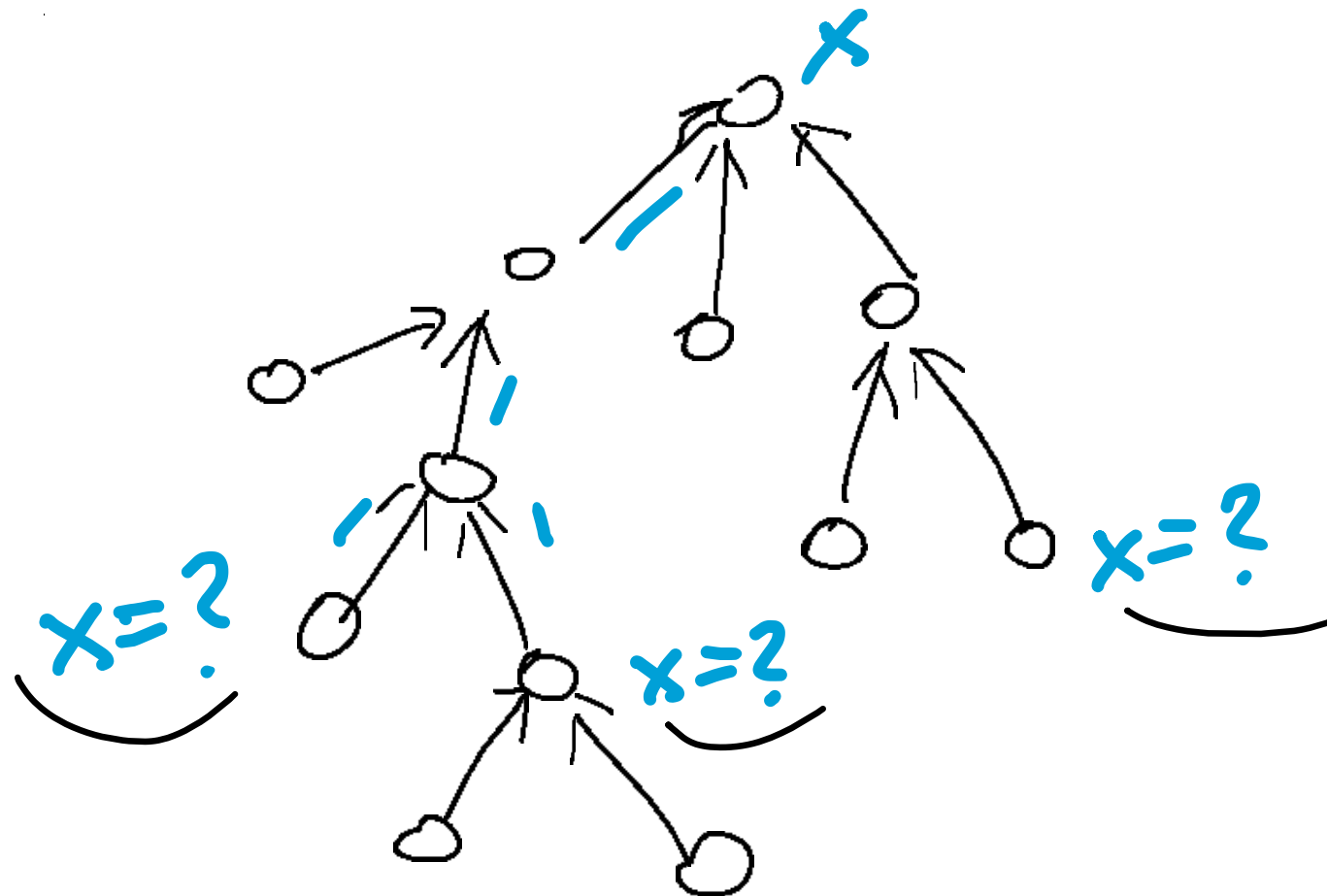
- no cycles
- any node can be a root

# Arrow algorithm



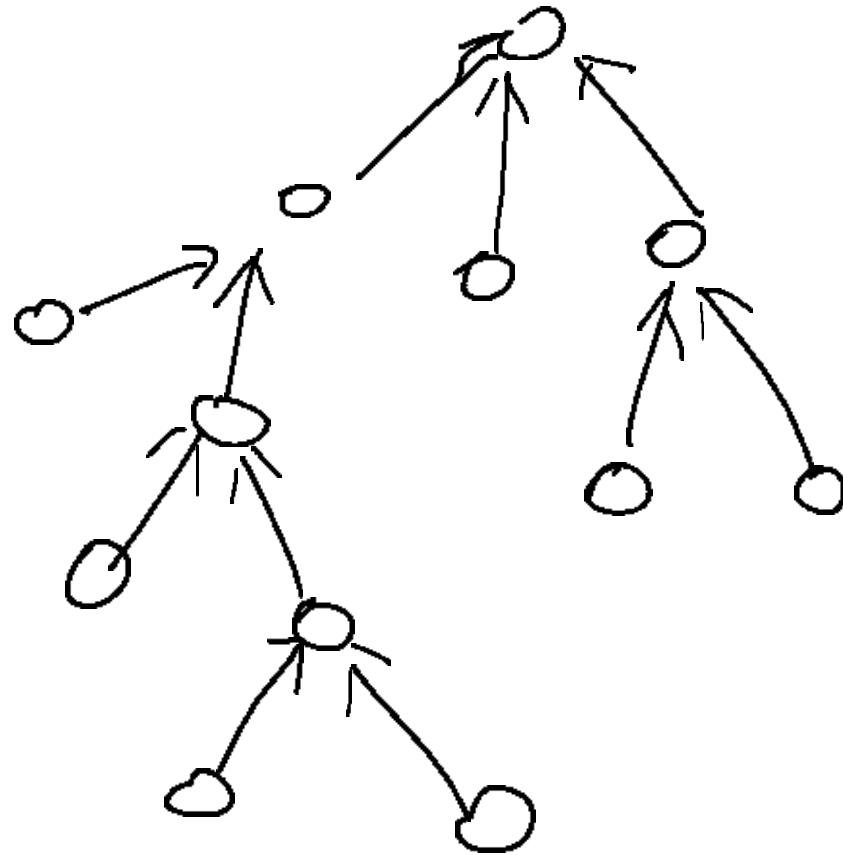
spanning tree

# Arrow algorithm



spanning tree

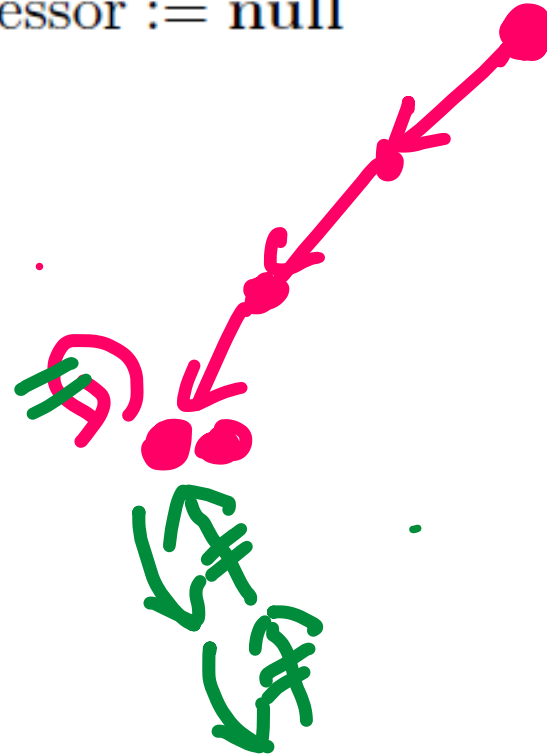
# Arrow algorithm



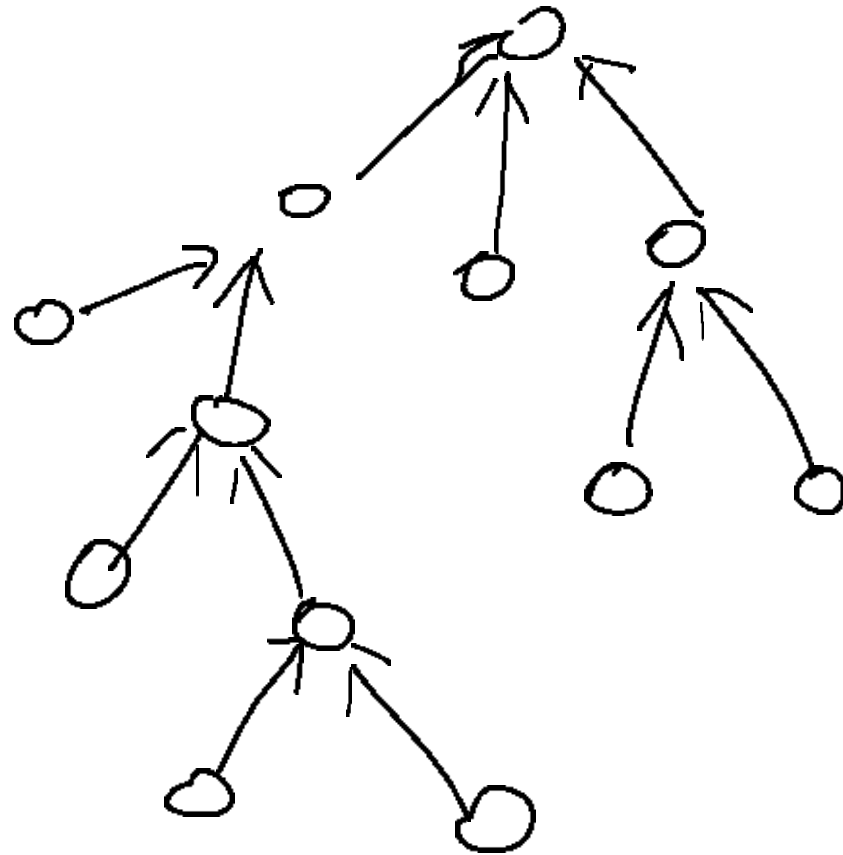
spanning tree

## Upon $w$ Receiving Shared Object:

```
19: perform operation on shared object
20: do atomically
21:  $w.wait := false$ 
22:   if  $w.successor \neq null$  then
23:     send variable to  $w.successor$ 
24:      $w.successor := null$ 
25:   end if
26: end do
```



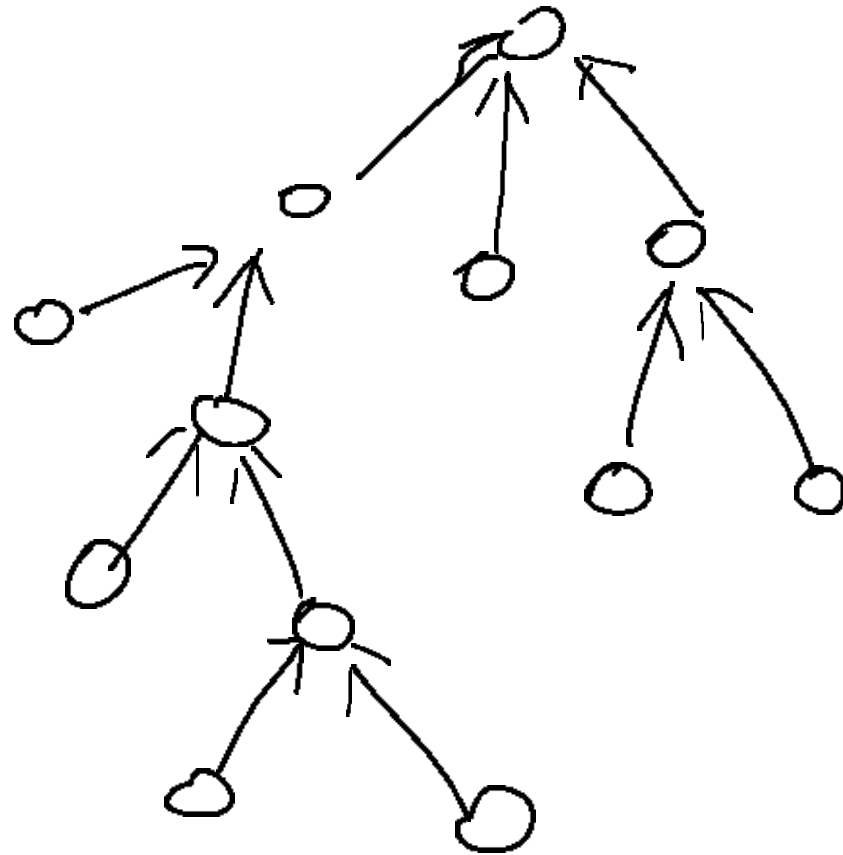
# Arrow algorithm



spanning tree

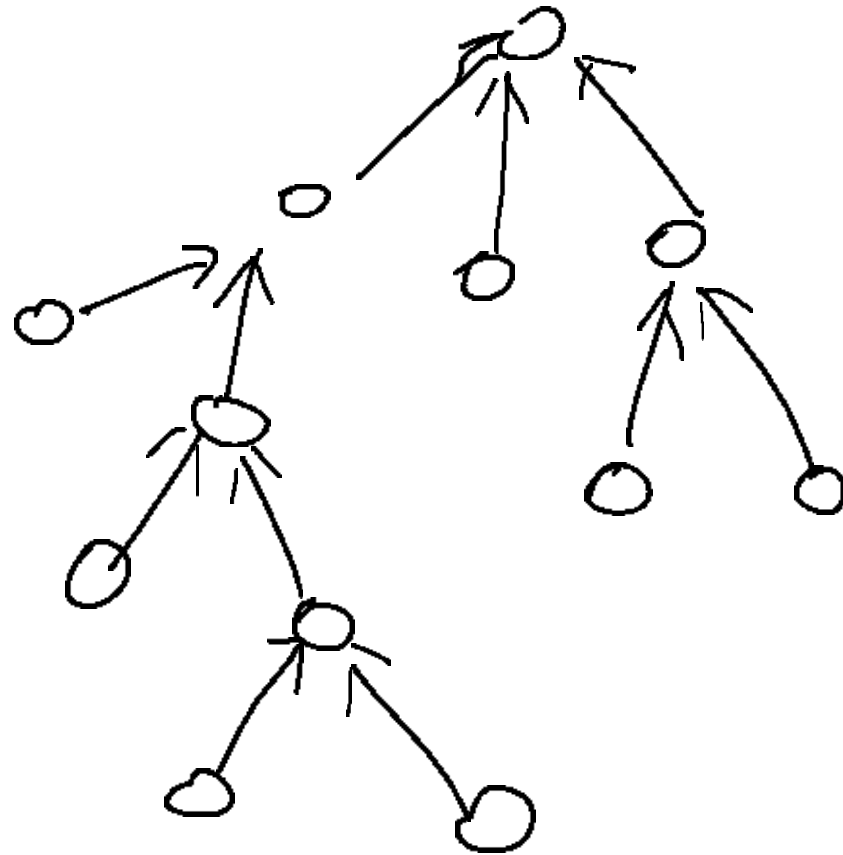


# Arrow algorithm



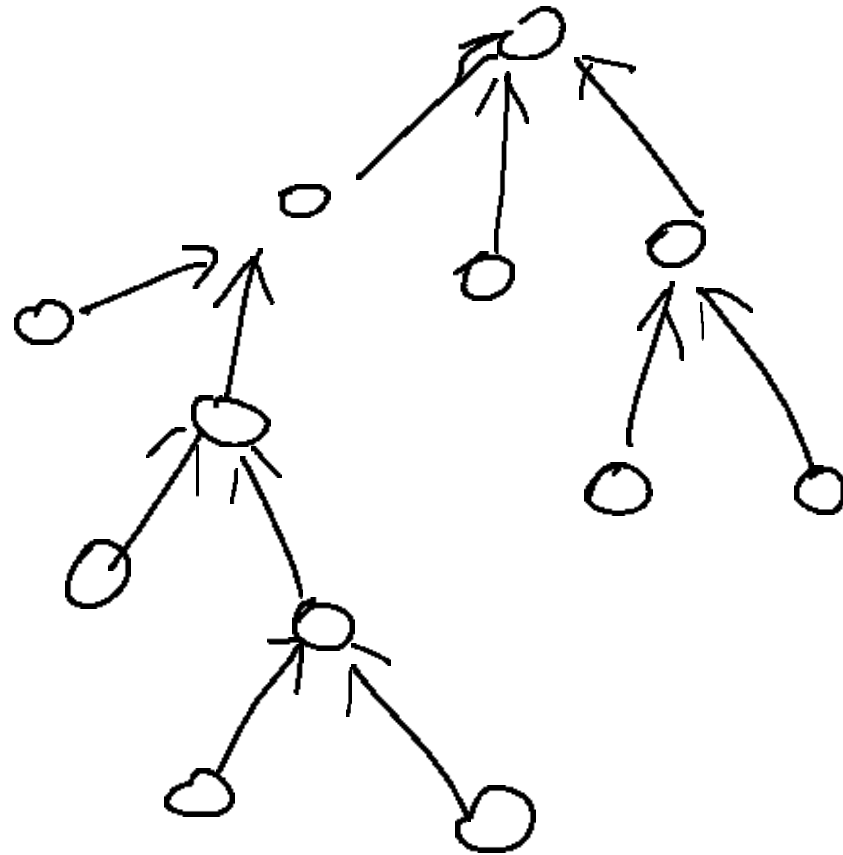
spanning tree

# Arrow algorithm



spanning tree

# Arrow algorithm



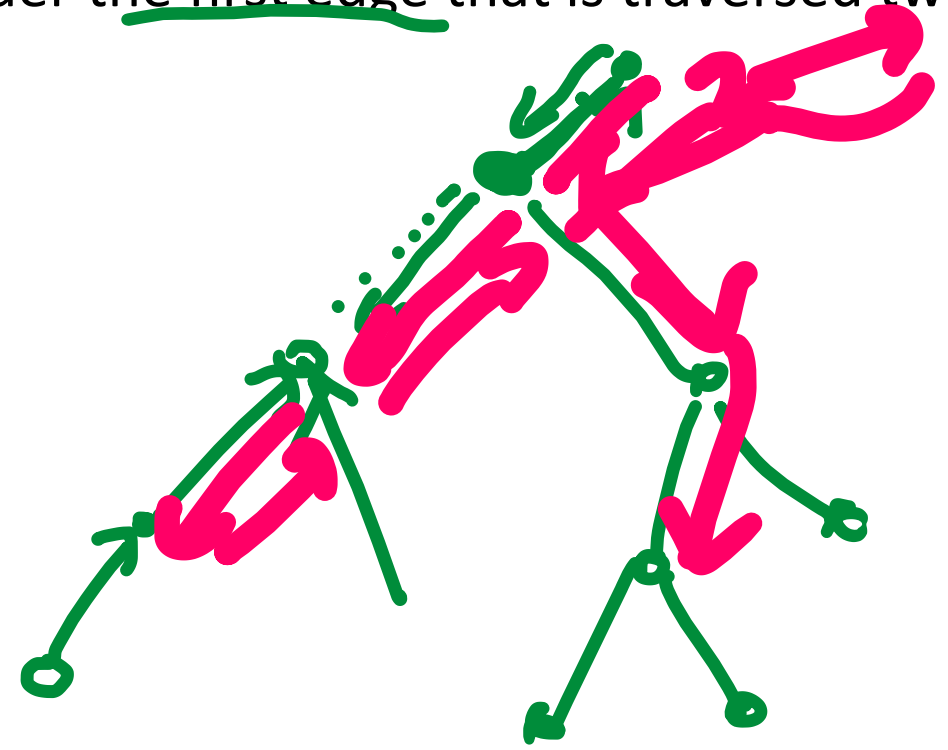
spanning tree

**Theorem 6.4.** (*Arrow, Analysis*) In an asynchronous and concurrent setting, a "find" operation terminates with message and time complexity  $D$ , where  $D$  is the diameter of the spanning tree.

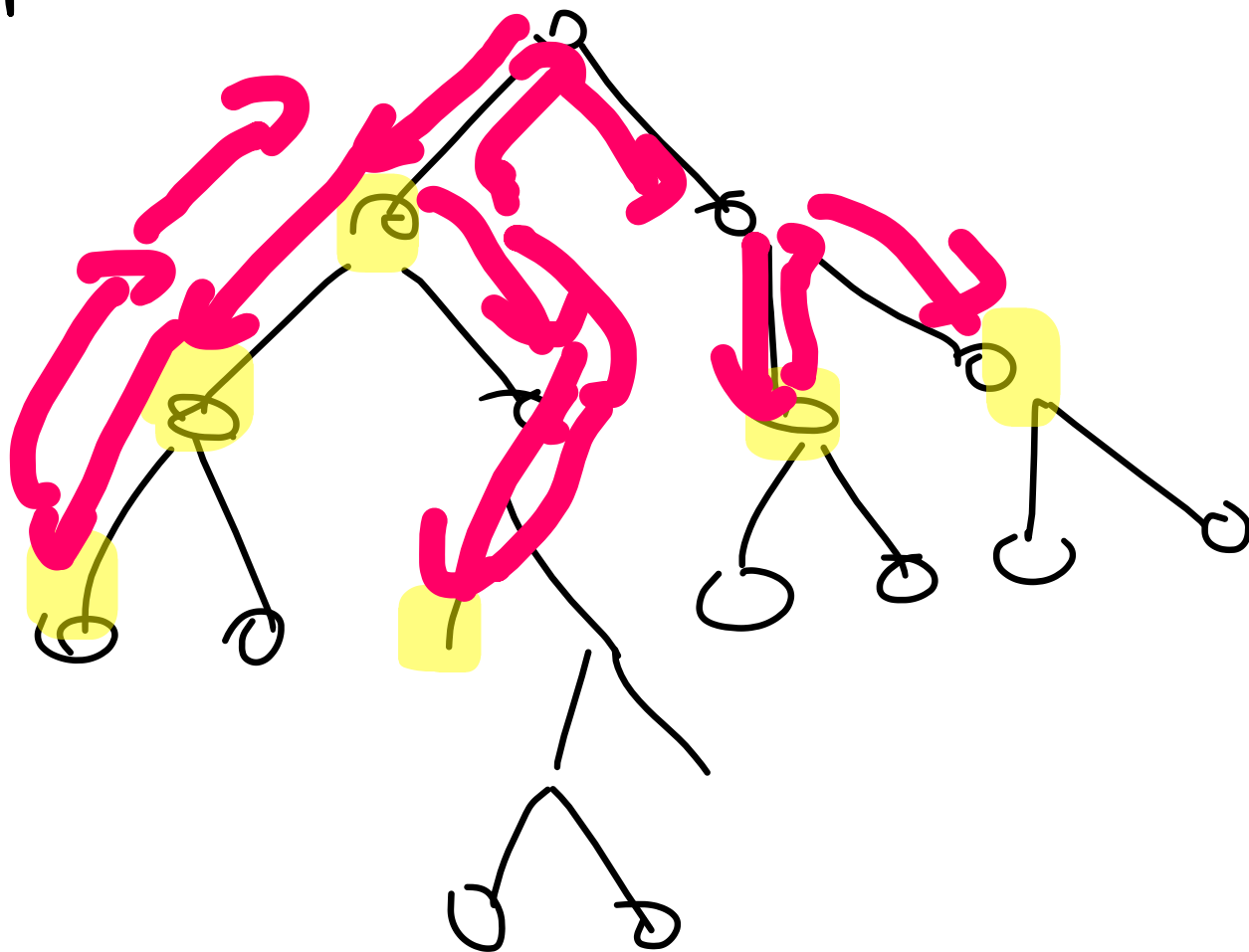
no edge traversed twice

Proof

consider the first edge that is traversed twice



Optimal

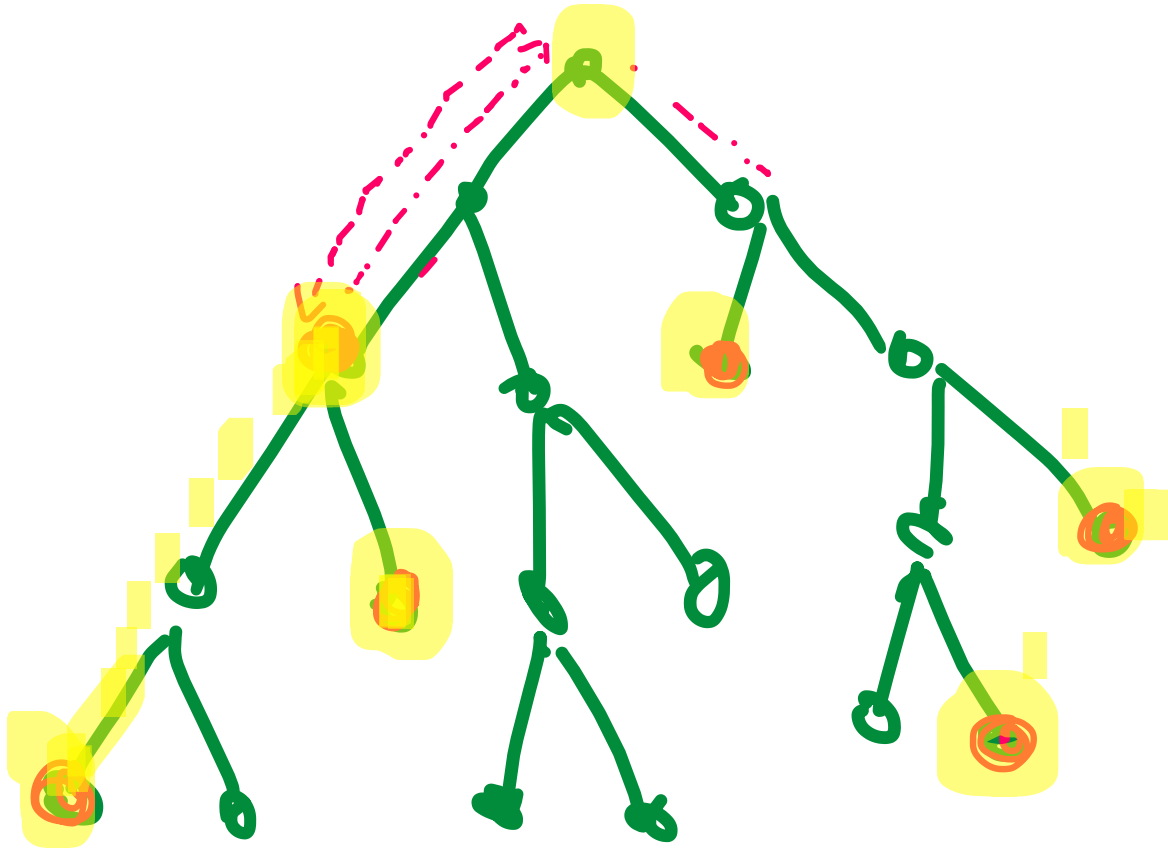


D.F.S.

**Theorem 6.6.** (*Arrow, Concurrent Analysis*) *Let the system be synchronous. Initially, the system is in a quiescent state. At time 0, a set  $S$  of nodes initiates a “find” operation. The message complexity of all “find” operations is  $\mathcal{O}(\log |S| \cdot m^*)$  where  $m^*$  is the message complexity of an optimal (with global knowledge) algorithm on the tree.*

Closest neighbor versus Depth First Search

S



nearest  
neighbor

---

### Algorithm 6.9 Shared Object: Pointer Forwarding

---

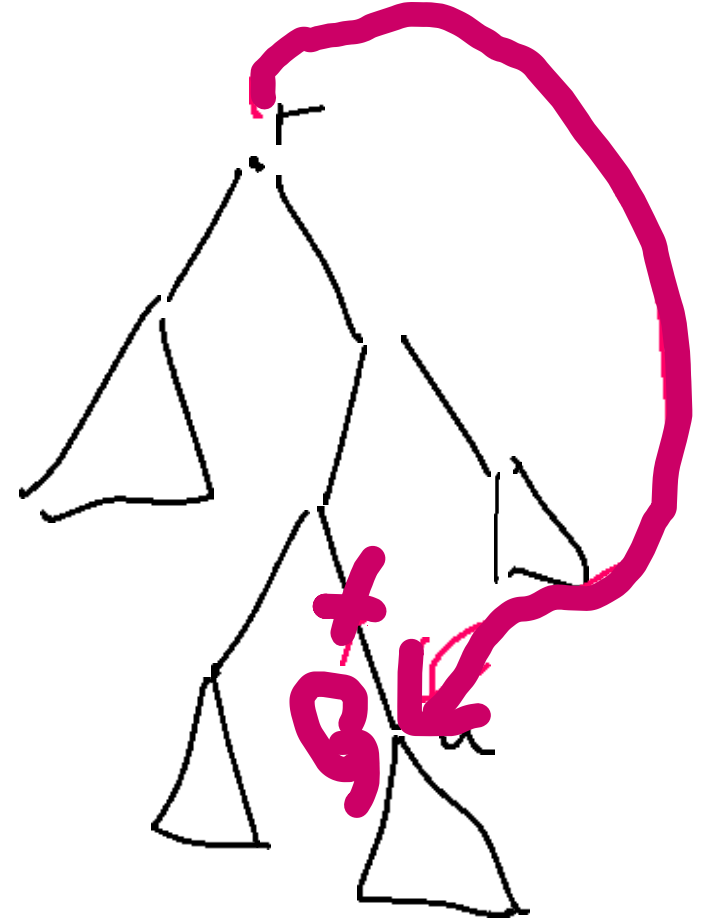
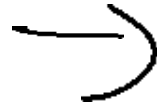
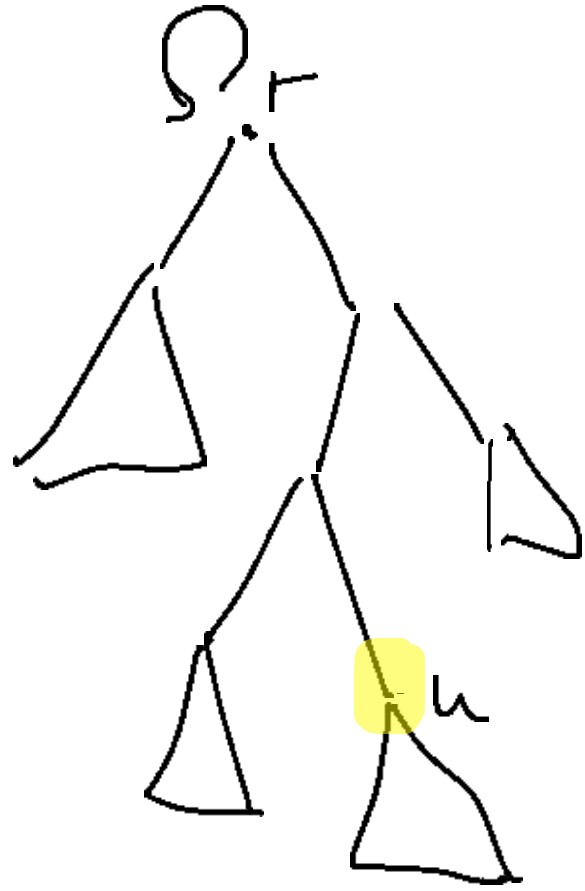
**Initialization:** Object is stored at root  $r$  of a precomputed spanning tree  $T$  (as in the Arrow algorithm, each node has a parent pointer pointing towards the object).

**Accessing Object:** (by node  $u$ )

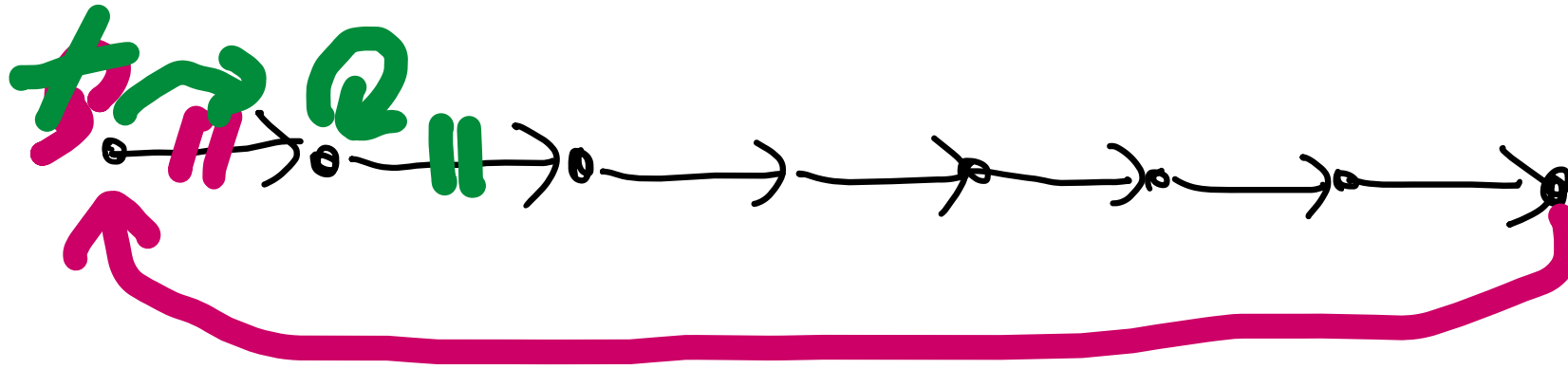
- 1: follow parent pointers to current root  $r$  of  $T$
  - 2: send object from  $r$  to  $u$
  - 3:  $r.\text{parent} := u; u.\text{parent} := u;$  *//  $u$  is the new root*
- 

changing the link in the root to the node requesting





worst case: linear list



---

**Algorithm 6.10** Shared Object: Ivy

---

**Initialization:** Object is stored at root  $r$  of a precomputed spanning tree  $T$  (as before, each node has a parent pointer pointing towards the object). For simplicity, we assume that accesses to the object are sequential.

**Start Find Request at Node  $u$ :**

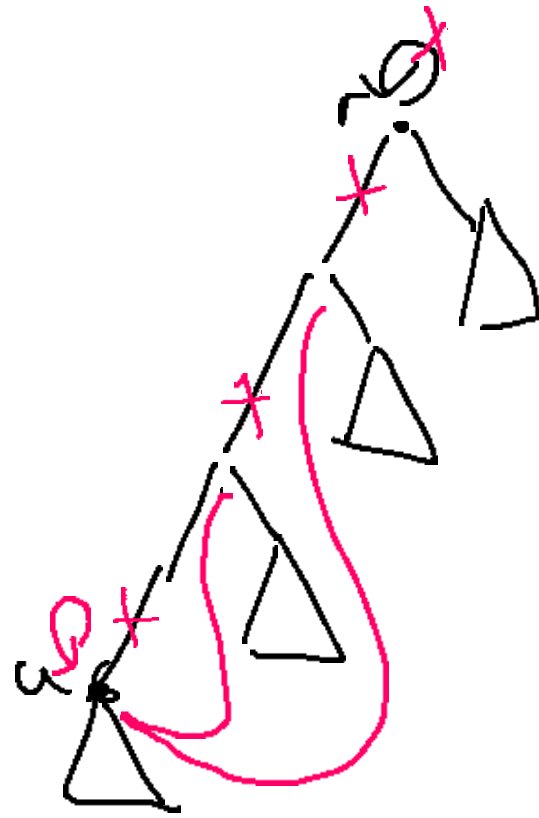
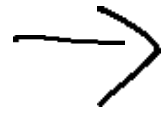
- 1:  $u$  sends “find by  $u$ ” message to parent node
- 2:  $u.\text{parent} := u$

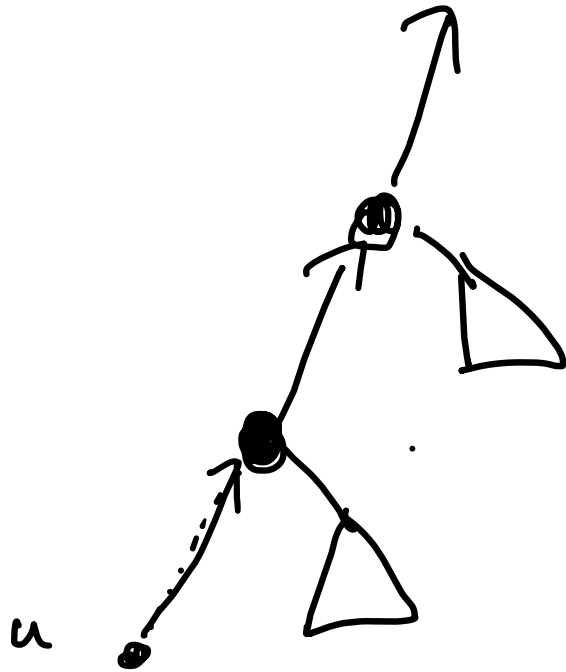
**Upon  $v$  receiving “Find by  $u$ ” Message:**

- 3: **if**  $v.\text{parent} = v$  **then**
- 4:   send object to  $u$
- 5: **else**
- 6:   send “find by  $u$ ” message to  $v.\text{parent}$
- 7: **end if**
- 8:  $v.\text{parent} := u$

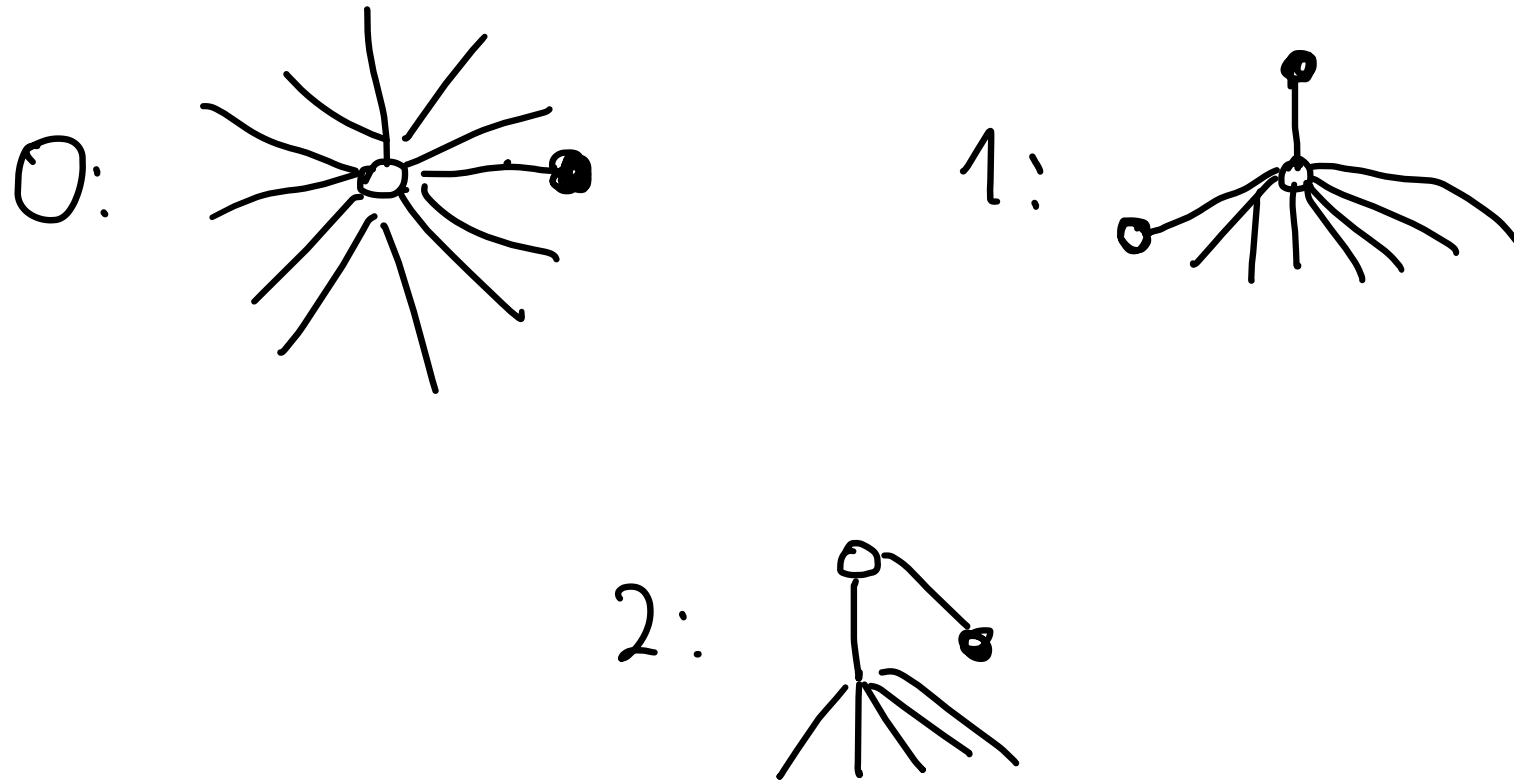
*//  $u$  will become the new root*

---





**Theorem 6.12.** *If the initial tree is a star, a find request of Algorithm 6.10 needs at most  $\log n$  steps on average, where  $n$  is the number of processors.*



Let  $s(u)$  be the size of the subtree rooted at node  $u$

potencial function:

$$\Phi(T) = \sum_{u \in V} \frac{\log s(u)}{2}$$

$a_i = k_i - \Phi(T_{i-1}) + \Phi(T_i)$  be the *amortized cost* of the  $i^{\text{th}}$  operation.

$$\Phi = \frac{\log 3}{2} + \frac{\log 5}{2} + \frac{\log 3}{2} + \frac{\log 3}{2} + \frac{\log 12}{2}$$

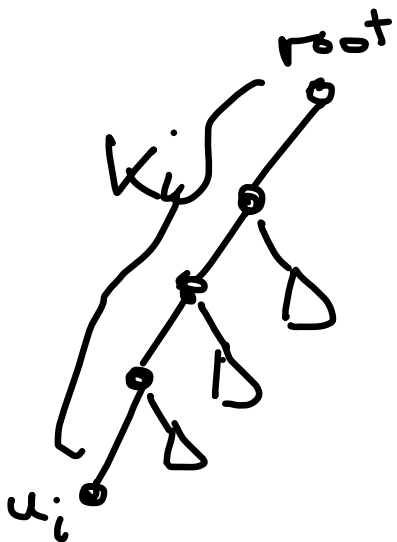


$$\Sigma = 0 + 0 + \dots + \frac{\log 5}{2}$$

$$-\frac{\log 4}{2} + \left( \frac{\log 4}{2} + \dots \right)$$

$$\sum_{i=1}^m a_i = \sum_{i=1}^m (k_i - \Phi(T_{i-1}) + \Phi(T_i)) = \sum_{i=1}^m k_i - \Phi(T_0) + \Phi(T_m)$$

$$\sum a_i \geq \sum k_i$$



For any tree  $T$ , we have  $\Phi(T) \geq \log(n)/2$ . Because we assume that  $T_0$  is a star, we also have  $\Phi(T_0) = \log(n)/2$ . We therefore get that

$$\sum_{i=1}^m a_i \geq \sum_{i=1}^m k_i.$$



$$\begin{aligned}
 a_i &= k_i - \left( \sum_{j=0}^{k_i} \frac{1}{2} \log s_j \right) + \left( \frac{1}{2} \log s_{k_i} + \sum_{j=1}^{k_i} \frac{1}{2} \log(s_j - s_{j-1}) \right) \\
 &= k_i + \frac{1}{2} \cdot \sum_{j=0}^{k_i-1} (\log(s_{j+1} - s_j) - \log s_j) \\
 &= k_i + \frac{1}{2} \cdot \sum_{j=0}^{k_i-1} \log \left( \frac{s_{j+1} - s_j}{s_j} \right).
 \end{aligned}$$

$$\alpha_j = s_{j+1}/s_j.$$

$$\begin{aligned}
 a_i &= k_i + \frac{1}{2} \cdot \sum_{j=0}^{k_i-1} \log(\alpha_j - 1) \\
 &= \sum_{j=0}^{k_i-1} \left( 1 + \frac{1}{2} \log(\alpha_j - 1) \right).
 \end{aligned}$$

$$\begin{aligned}
 a_i &= k_i - \left( \sum_{j=0}^{k_i} \frac{1}{2} \log s_j \right) + \left( \frac{1}{2} \log s_{k_i} + \sum_{j=1}^{k_i} \frac{1}{2} \log(s_j - s_{j-1}) \right) \\
 &= k_i + \frac{1}{2} \cdot \sum_{j=0}^{k_i-1} (\log(s_{j+1} - s_j) - \log s_j) \\
 &= k_i + \frac{1}{2} \cdot \sum_{j=0}^{k_i-1} \log \left( \frac{s_{j+1} - s_j}{s_j} \right).
 \end{aligned}$$

$$\alpha_j = s_{j+1}/s_j.$$

For  $\alpha > 1$ , it can be shown that  $1 + \log(\alpha - 1)/2 \leq \log \alpha$

$$\begin{aligned}
 a_i &= k_i + \frac{1}{2} \cdot \sum_{j=0}^{k_i-1} \log(\alpha_j - 1) \\
 &= \sum_{j=0}^{k_i-1} \left( 1 + \frac{1}{2} \log(\alpha_j - 1) \right).
 \end{aligned}$$

$$\begin{aligned}
 a_i &\leq \sum_{j=0}^{k_i-1} \log \alpha_j = \sum_{j=0}^{k_i-1} \log \frac{s_{j+1}}{s_j} = \sum_{j=0}^{k_i-1} (\log s_{j+1} - \log s_j) \\
 &= \log s_{k_i} - \log s_0 \leq \log n,
 \end{aligned}$$







