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# Secret swarm unit $\stackrel{\text{\tiny{theta}}}{}$ Reactive *k*-secret sharing

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### ABSTRACT

Secret sharing is a fundamental cryptographic task. Motivated by the virtual automata abstraction and swarm computing, we investigate an extension of the *k*-secret sharing scheme, in which the secret shares are changed on the fly, independently and without (internal) communication, as a reaction to a global external trigger. The changes are made while maintaining the requirement that *k* or more secret shares may reconstruct the secret and no k - 1 or fewer can do so.

The application considered is a swarm of mobile processes, each maintaining a share of the secret which may change according to common outside inputs, e.g., inputs received by sensors attached to the process.

The proposed schemes support addition and removal of processes from the swarm, as well as corruption of a small portion of the processes in the swarm.

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## 1. Introduction

Secret sharing is a basic and fundamental technique [14]. Motivated by the high level of interest in the virtual automata abstraction and swarm computing, e.g., [4,3,2,5,7], we investigate an extension of the *k*-secret sharing scheme, in which the secret shares are modified on the fly, while maintaining the requirement that k or more shares may reconstruct the secret and no k - 1 or fewer can reconstruct it.

There is great interest in pervasive ad hoc and swarm computing [15], particularly in swarming unmanned aerial vehicles (UAV) [10,5]. A unit of UAVS that collaborate in a mission is more robust than a single UAV that has to complete a mission by itself. This is a known phenomenon in distributed computing where a single point of failure has to be avoided. Replicated memory and state machine abstractions are used as general techniques for capturing the strength of distributed systems in tolerating faults and dynamic changes.

In this work we integrate cryptographic concerns into these abstractions. In particular, we are interested in scenarios in which some of the swarm members are compromised and their secret shares are revealed. We would like the swarm members to execute a global transition without communicating with each other and therefore without knowing the secret, before or after the transition. Note that secure function computation (e.g., [11]) requires communication whenever inputs should be processed, while we require transition with no internal communication.

#### 1.1. Our contributions

We define and present four reactive k-secret schemes. The first three schemes are for the case in which the global secret of the swarm is some numeric number that can be modified according to inputs. The fourth scheme is for

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the case of an I/O automaton, implemented by the swarm, where the current state of the automaton is the actual secret of the swarm. To avoid compromising the global secret of the swarm, the members maintain only a share of the secret.

The first polynomial scheme is based on Shamir's (k,n)-threshold scheme, the second is based on the Chinese Remainder Theorem (CRT) and the third is based on the Vandermonde matrix. The fourth and last solution uses replication of states for implementing a virtual I/O automaton with unknown state.

In all the solutions we suggest a way to modify the global secret by modifying only the secret shares without the need to collect them to reconstruct the global secret. In the polynomial-based and the CRT-based schemes we support arithmetic addition and multiplication operations as a possible modification of the secret. Still, these two implementations differ. In terms of total space used to encode the secret, the size of each share in the polynomial-based scheme is of the same order of the secret size, while the *total* size of the shares needed to define the secret in the Chinese remainder scheme is of the secret order. Also, in the Chinese remainder-based scheme, the secret share may reveal partial information on the global secret.

In the Vandermonde-based scheme, a predefined Vandermonde matrix is used to define the secret shares. This scheme is the only one that supports bitwise-*xor* operations among the non-automaton schemes.

The last scheme implements a general I/O automaton, where the transition to the next state is performed according to the input event received by the swarm. This scheme replicates states of a given automaton and distributes several distinct replicas to each swarm member. The relative majority of the distributed replicas represent the state of the swarm. A swarm member changes the states of all the replicas it maintains according to the global input received by the swarm. In this case, a general automaton can be implemented by the swarm, revealing only partial knowledge on the secret of the swarm.

## 1.2. Paper organization

The system settings are described in Section 2. The polynomial-based solution, which supports arithmetic addition and multiplication is presented in Section 3. The Chinese remainder-based solution appears in Section 4. The Vandermonde matrix-based solution appears in Section 5. Section 6 describes the I/O automaton implementation. Finally, conclusions appear in Section 7.

## 2. Swarm Settings

A *swarm* is a collection of processes (executed by, say, unmanned Aerial vehicles UAVS, mobile-sensors, processors) that receive inputs from the outside environment simultaneously.<sup>1</sup> The swarm as a unit holds a secret, where shares of the secret are distributed among swarm members in a way that at least k are required to reconstruct the secret, and any fewer than k shares cannot reconstruct it. Yet, in some of our schemes the shares may imply additional information regarding the secret. Obviously, given a secret domain, the secret can be guessed with a uniform probability over the secret domain. We consider both *passive adversary* and *active (Byzantine) adversary*, and present different schemes used by the processes to cope with them. We assume that at most f of the n processes may be compromised or corrupted by an adversary, where f < k. Communication between the swarm members is avoided or performed in a safe land, alternatives of more expensive secure communication is needed [11].

#### 2.1. Reactive k-secret sharing - problem definition

Assume that we have a swarm, which initially consists of n processes. The task of the swarm is to manage a *global secret* and modify it without the need to reconstruct it first. Each swarm member holds a share of the global secret in a way that any fewer than k members fail to reconstruct the secret and at least k members may reconstruct it with some positive probability. In addition, all members can reconstruct the secret with probability 1.

#### 2.2. Reactive k-secret sharing – general solution scheme

Any event sensed by the processes is modeled by a system input. The swarm receives inputs and sends outputs to the outside environment. An input to the swarm arrives at all processes simultaneously. The output of the swarm is a function of the swarm state and the system inputs. There are two possible assumptions concerning the swarm output, the first, called threshold accumulated output, where the swarm outputs only when at least a predefined number of processes have this output locally. The second means of defining the swarm output is based on secure internal communication within the swarm; the communication takes place when the local state of a process indicates that a swarm output is possible.<sup>2</sup> In the sequel, we assume the threshold accumulated output where the adversary cannot observe outputs below the threshold. Whenever the output is above the threshold, the adversary may observe the swarm output together with the outside environment, and is "surprised" by the non-anticipated output of the swarm (similar to the secret maturity approach presented in [6]).

We consider the following input actions, to be implemented by each of our solutions:

• *set* (*x*): Sets the secret share with the value *x*. The value *x* is distributed in a secure way among processes of the swarm, each process receives a secret share *x*. This operation is either done in a safe land, or uses encryption techniques.

<sup>&</sup>lt;sup>1</sup> Alternatively, the processes can communicate the inputs to each other by atomic broadcast or other weaker communication primitive.

<sup>&</sup>lt;sup>2</sup> In this case, one should add "white noise" of constant output computations to mask the actual output computations.

1  $\mathbf{set}_{i}(\langle \mathbf{set}, \mathbf{srcid}, \mathbf{i}, \mathbf{share} \rangle)$  $\mathbf{2}$  $x_i \longleftarrow getX(share, 1)$  $y_i \leftarrow getY(share, 1)$ 3  $\mathbf{step_i}(\langle \mathbf{stp}, \mathbf{srcid}, \mathbf{i}, \mathbf{op}, \delta \rangle)$ 4 if op == ADD56  $y_i \longleftarrow y_i + \delta$ 7 else 8  $y_i \longleftarrow y_i * \delta$ 9  $regainConsistencyRequest_i((rgn_rqst, srcid, i))$  $leaderId \leftarrow leaderElection()$ 10 if leaderId = i then 11 12 $allSecretComponents_i \leftarrow listenAll(\langle rqn_rply, j, i, share \rangle)$ 13if  $size(allSecretComponents_i) < k$  then 14  $allSecretComponents_i \leftarrow setDefaultSecret()$ 15for every process id j in the swarm do: 16 $new\_share \longleftarrow getRandomShare(allSecretComponents, 1)$ 17 $send(\langle set, i, j, new\_share \rangle)$ 18 $\langle (x_i, y_i) \rangle \longleftarrow getRandomShare(allSecretComponents, 1)$ 19 $allSecretComponents_i \longleftarrow \emptyset$ 20else send( $\langle rgn\_rply, i, leaderId, \langle (x_i, y_i) \rangle \rangle$ ) 2122 regainConsistencyReply<sub>i</sub>( $\langle rgn_rply, srcid, i, share \rangle$ ) 23if leaderId = i then 24 $allSecretComponents_i \leftarrow allSecretComponents_i \cup share$ 25 joinRequest;  $(\langle join_rqst, srcid, i \rangle)$ 26 $replyWasSent \longleftarrow false$ 27 $waitingTime \leftarrow random([1..maxWaiting(n)])$ 28while waitingTime not elapsed do 29 $replyWasSent \leftarrow$  listen( $\langle join\_rply, j, srcid, component \rangle$ ) 30 if replyWasSent = false then 31 $send(\langle join\_rply, i, srcid, (x_i, y_i) \rangle)$ 32 joinReply<sub>i</sub>( $\langle join_rply, srcid, i, component \rangle$ ) 33  $x_i \longleftarrow getX(component)$ 34 $y_i \longleftarrow getY(component)$ 

Fig. 1. Polynomial-based solution with single component share. Program for swarm member i.

- *step*( $\delta$ ): Modify the secret share, which results in modifying the global secret. The processes of the swarm independently receive the input  $\delta$ , which is the modification parameter.
- regain consistency request: Request to redistribute the secret in order to ensure that the processes carry the current secret value in a consistent manner and to recover the secret if necessary. Also, the operation is required in order to cope with future joins, leaves, and state corruption. We assume that the execution followed by this input action is done in a safe land, where there is no threat of any adversary. The regain consistency mechanism is used to obtain a proactive security property.

We assume that the number of processes *leaving* the swarm between any two successive *regain consistency* actions, is bounded by  $n_{lp}$ . This number also includes failed processes since a failed process is considered a leaving process. The operation taken by a leaving

process is essentially an erase of data related to the Swarm.<sup>3</sup>

- *regain consistency reply*: Reply to regain consistency request, which includes the updated secret share.
- *join request*: A process requests to join the swarm and receives join reply messages from other swarm members, to compose its own secret share.
- *join reply*: A process replies to a join request of another process, by sending the joining process a secret share.<sup>4</sup> We consider two types of adversaries:

<sup>&</sup>lt;sup>3</sup> One may wish to design a swarm in which the members maintain the population of the swarm; in this case, as an optimization for a mechanism based on secure heart-beats, a leaving process may notify the other members of the fact that it is leaving.

<sup>&</sup>lt;sup>4</sup> Note that during a join operation, the communication is not intraswarm communication since the swarm members communicate with a new joining process, which is yet to be a member of the swarm.

- passive adversary: can compromise at most f < k processes and reveal their state.<sup>5</sup>
- Active (Byzantine) adversary: can reveal and corrupt the state of at most f < k processes.</li>
   Compromising or corruption can be invoked at most f < k times between any two successive global resets of the swarm secret. A global reset of the secret can be implemented by using the set input actions to reset the secret shares or by executing a regain consistency operation, which redistributes the secret and may reset it to some predefined default secret.</li>

## 3. Reactive k-secret sharing – polynomial-based scheme

Consider a swarm of initially *n* members and a global secret, denoted by *gs*, which is the actual secret of the swarm. The value of *gs* can be increased (decreased) by some integer value or multiplied by some integer factor. In our polynomial scheme, we use Shamir's (k,n)-threshold scheme [14] to encode the value of *gs*. That is done by using a polynomial p(x) of degree k - 1 over a finite field, such that  $p(x) = a_0 + a_1x^1 + a_2x^2 + \dots + a_{k-1}x^{k-1}$ , where  $a_1, \dots, a_{k-1}$  are random and  $a_0 = gs$ .

A secret component is a pair (x,y), where y = p(x) and  $x \neq 0$ . A set of *n* distinct secret components  $(x_1,y_1), \ldots, (x_n,y_n)$  encodes the swarm's global secret gs in a way that any fewer than *k* secret components cannot reconstruct the polynomial p(x) and any *k* secret components or more can do so and, hence, calculate the swarm's global secret gs. A secret share is simply a set (tuple) of distinct secret components.

**Observation 1.** As stated in [1], linear operations on a secret encoded by a polynomial are simple to perform. Let p(x) be a polynomial of degree k - 1 used to encode a secret gs. The secret gs is uniquely encoded by a set of k points  $(x_1, y_1), \ldots, (x_k, y_k)$ , where  $p(x_i) = y_i$  for  $i = 1, \ldots, k$ . The polynomial  $q_1(x)$ , also of degree k - 1, where  $q_1(x_i) = y_i + \delta$ , equals to  $p(x) + \delta$  and encodes the secret  $gs + \delta$ . Similarly, the polynomial  $q_2(x)$  of degree k - 1, where  $q_2(x_i) = y_i \cdot \mu$ , equals to  $p(x) \cdot \mu$  and encodes the secret  $gs \cdot \mu$ .

For example, consider the case where  $p(x) = 5 + 2x + 3x^2$ , a polynomial of degree 2 that encodes the global secret gs = 5, among a swarm of n = 5 members. The pairs (1,10), (2,21), (5,90), (7,166) and (10,325) are possible n secret components, where each k = 3 components are required to reconstruct p(x) and calculate gs.

Incrementing the value of gs by  $\delta$  = 3 results in a new polynomial  $q(x) = 8 + 2x + 3x^2$ , which is represented by the following secret components: (1,13), (2,24), (5,93), (7,169) and (10,328). Similarly, when gs is multiplied by  $\delta$ .

## 3.1. Polynomial based scheme - secret share of size 1

Assume that each one of the *n* swarm members holds a distinct secret share, which contains a single secret component  $\langle (x_i, y_i) \rangle$ , where  $y_i = p(x_i)$  and p(x) is a polynomial of

degree k - 1 encoding the swarm's global secret gs. According to Observation 1, adding  $\delta$  to  $y_1, \ldots, y_n$  results in a new polynomial q(x) where  $q(x) = p(x) + \delta$ . Hence, increasing (decreasing) all the values  $y_i$  by  $\delta$  increases (decreases) the secret by  $\delta$  as well, since  $q(0) = p(0) + \delta$ . Also, multiplying the second coordinate by some factor  $\delta$  implies the multiplication of gs by  $\delta$ . Thus, updating the value gs is done by internal actions followed by a *step* input action, which specifies the arithmetic operation (multiplication or addition) and the  $\delta$  by which the value of gs is multiplied or increased.

Based on the above, the input actions are implemented as described in Fig. 1.

### 3.1.1. Line-by-line code description

The code in Fig. 1 describes input actions of process *i*. Each process *i* has a secret share of a single secret component: a pair  $(x_i, y_i)$ , where  $y_i = p(x_i)$ . Each input action includes a message of the form  $\langle type, srcid, destid, parameters \rangle$ , where *type* is the message type indicating the input action type, *srcid* is the identifier of the source process, *destid* the identifier of the destination process and additional parameters of the input action.

- *set*: On *set*, process *i* receives a message of type *set*, indicating the *set* input action, and a secret *share*, consists of a single secret component of the form (x,y), where y = p(x) (line 1). Process *i* sets  $x_i$  and  $y_i$  with the component in the received *share* (lines 2, 3), where *getX*(*share*,*j*) and *getY*(*share*,*j*) returns the *x* and *y* values, respectively, of the *j*th component in the given secret *share*.
- step: On step, process *i* receives a message of type stp, indicating the step input action, a value  $\delta$  and an operation type op (line 4). The value  $\delta$  may be negative and indicates a change in the secret share that affects the global secret. The operation op may be either ADD or MUL, which indicates the arithmetic addition and multiplication operations respectively.

If *op* is *ADD*, then  $y_i$  is incremented by  $\delta$  (lines 5, 6). Otherwise, the operation is *MUL* and then  $y_i$  is multiplied by  $\delta$  (lines 7, 8). By Observation 1, incrementing or multiplying the global secret by  $\delta$  can be done by incrementing or multiplying each value  $y_i$  of the secret component ( $x_i, y_i$ ). Therefore, a step input action implies the addition or multiplication of the global secret *gs* by  $\delta$ .

 regainConsistencyRequest: On regainConsistancyRequest, the processes are assumed to be in a safe place without the threat of any adversary (alternatively, a global secure function computation technique is used).

Process *i* receives a message of type *rgn\_rqst* (line 9), which triggers a leader election procedure (line 10). Once a leader is elected, it is responsible for collecting all the members' shares, calculating the global secret and redistributing the secret shares amongst the swarm members.

If process i is the leader (lines 11–19), it first listens to regain consistency reply messages sent by other swarm members. These reply messages contain the members'

<sup>&</sup>lt;sup>5</sup> In the sequel we assume that a joining process reveals information equivalent to a captured process, though, if it happens that the compromising adversary is not present during the join no information is revealed.

shares, which are collected into the set of all secret components, denoted by *allSecretComponents* (line 12).

If the number of distinct secret components is fewer than k, i.e., some of the global secret components are missing and the secret cannot be reconstructed, then process iinitializes a set *allSecretComponents* with the set of components returned by the method *setDefaultSecret()* (lines 13, 14). This method sets the values of the global secret *gs* with a predefined default value, and returns a set of *n* distinct secret components, which is assigned to the set *allSecretComponents*.

Having set the global secret components, process i (the leader) redistributes the secret (lines 15–17). The function *getRandomShare* returns a random share of a given size (1 in this case) out of the set *allSecretComponents* (line 16). A random share is sent to each swarm member using a *set* message to set the share of the member with the random one (line 17). After sending the shares to all members, the leader sets its own share with a random share (line 18).

Finally, after the shares are sent by the leader, the set *allSecretComponents* is initialized with an empty set, to avoid revealing the secret in case the leader is later compromised (line 19). In case process *i* is not the leader, it sends its share to the leader by sending a *rgn\_rply* message (lines 20, 21).

- regainConsistencyReply: On regainConsistencyReply, all processes are assumed to be in a safe place without the threat of any adversary. Process *i* receives a message of type *rgn\_rply*, which includes the secret share of the sender, identified by *srcid* (line 22). If process *i* is the leader, then it adds the received component to the set *allSecretComponents* (lines 23, 24). Otherwise, the message is ignored.
- *joinRequest*: An input message of type *join\_rqst* indicates a request by a new process with identifier *srcid* to join the swarm (line 25). Process *i* sends its secret component to the joining process only if no other reply was previously sent by another swarm member. For that, it holds a variable *replyWasSent*, initialized with *false*, to indicate whether a reply of another process was sent back to the joining process (line 26). It then sets waiting-*Time* with a random period of time, which is a number of time units within the range 1 and *maxWaiting*(*n*), where maxWaiting is a function which depends on the number of swarm members *n* and the time unit size (line 27). During that random period of time, process *i* listens to join replies sent by other processes. Each reply includes a share with a single component, namely, a pair  $(x_i, y_i)$ , where  $y_i = p(x_i)$ . If such a reply was sent, then *replyWas*-Sent is set with true (lines 28, 29). Whenever that random period of time has elapsed, if no reply was sent, then process *i* sends its secret component to the joining process srcid (lines 30, 31).

We assume that at most one sender may succeed in sending the reply. Moreover, processes know which secret component is successfully sent. Note that the secret components can be encrypted. In this case, the *join\_rqst* message may include a public key. Otherwise, we regard each join as a process captured by the adversary. • *joinReply*: Process *i* receives an input message of type *join\_rply*, which indicates a reply for a join request by a process joining the swarm. The message includes a secret component for the joining process (line 32). Process *i* sets its share with the value of the given secret component (lines 33, 34).

#### 3.1.2. Reconstructing the secret

Let pr(m) denote the probability that a random set of m secret shares can reconstruct p(x) and calculate gs. On regain consistency operation, the secret shares are collected from all swarm members, the global secret is reconstructed and redistributed again amongst the swarm members. Assume the number of processes in the swarm initially (or immediately after a regain consistency operation) is n. As long as there are no members which join or leave the swarm, the members hold n distinct secret components, where any fewer than k components cannot reconstruct the secret and any k or more can reconstruct it with probability 1. In this case, pr(m) = 0 for all m < k and pr(m) = 1 for all  $m \ge k$ .

Assuming the number  $n_{lp}$  of leaving processes between two successive regain consistency operations is less than n - k, and the number  $n_{jp}$  denotes the number of joining processes, then  $pr(m) \leq 1$ , since some of the components appear more than once in the swarm. That probability is a function of n, k,  $n_{lp}$  and  $n_{jp}$ . Obviously, when all the members' components are given (as on regain consistency), that probability is 1.

#### 3.1.3. Passive adversary

According to Shamir's (k, n)-threshold scheme, at least k distinct secret components are required to reconstruct the swarm's global secret gs. Therefore, in any execution in which an adversary captures at most f < k processes, at least one component is missing and the secret cannot be reconstructed.

## 3.1.4. Active (Byzantine) adversary and error correcting

In the presence of an active adversary, which has the ability to corrupt the state of at most f swarm members, we design a scheme that is robust to faults. Having *m* distinct points of a polynomial p(x) of degree k-1, the Berlekamp-Welch decoder [16] can reconstruct the secret as long as the number of errors *f* is less than (m - k + 1)/2. If there are no join operations, all shares are distinct, then in the case of f errors (or corrupted state members), any set of m > 2f + k - 1 shares can reconstruct the secret. Note that the Berlekamp-Welch decoder refer to errors in the  $y_i$ values, but the active adversary can corrupt the  $x_i$  values as well. This fact does not affect the correctness of using the decoder, since for any value  $x_i$ , the value  $y_i = p(x_i)$  may be regarded as erroneous. If there were join operations, then some of the shares may be duplicated and, hence, a set of m > 2f + k - 1 may reconstruct the secret with some positive probability.

## 3.2. Polynomial based scheme – secret share of size > 1

Previously we assumed that the number  $n_{lp}$  of leaving processes is at most n - k between any two successive glo-

bal resets of the secret. Here we assume that neither the number of leaving nor the number of joining processes is limited, but the number of processes in the swarm at any given time is at least k.

Under this assumption, consider the following scenario. Processes left and joined the swarm in a way that caused the swarm to be in a critical state, namely a state in which the number of processes is larger than k, there are exactly k distinct shares distributed amongst the swarm members and there is a share  $share_i$ of process *i*, which uniquely appears in the swarm. If process *i* leaves the swarm, there are k - 1 distinct shares (k - 1 polynomial points) and the secret cannot be reconstructed. In order to increase the probability of overcoming a critical state, we use secret share which consists of s (uniformal chosen) secret components rather than a single component. That way, on the next join operation, before the process *i* with the unique share leaves, the probability of the joining process to get a share which includes the component in *share*<sub>i</sub> is s times larger. Motivated by the above scenario, we use a polynomial p(x) of degree t - 1 > k to encode the secret by Shamir's (t,t)-threshold scheme. A secret share is a tuple of *s* secret components (points), where s = t/k. In this scheme we do not use n distinct polynomial points but rather t.

The implementation of the input actions has slightly changed, as shown in Fig. 2.

### 3.2.1. Code description

The code in Fig. 2 describes input actions of process *i*, when a secret share is a tuple of *s* distinct secret components. A secret share  $\langle (x_{i_1}, y_{i_1}), (x_{i_2}, y_{i_2}), \dots, (x_{i_s}, y_{i_s}) \rangle$  of process *i* is represented by two arrays,  $X_i[1...s]$  and  $Y_i[1...s]$ , where  $X_i[j]$  and  $Y_i[j]$  match  $x_{i_j}$  and  $y_{i_j}$  respectively. The following describes the code changes between Figs. 1 and 2.

- *set*: On *set* (line 1), process *i* sets its secret share with the received one (lines 2–4).
- *step*: On *step* (line 5), process *i*, according to the received arithmetic operation *op* (line 6), increments or multiplies each value  $Y_i[j], j = 1...s$  by  $\delta$ . When done for all secret shares, this modification of the shares implies the modification of the global secret *gs* by  $\delta$ .
- regainConsistencyRequest: On regainConsistencyRequest, executed in a safe place, process *i* receives a message of type rgn\_rqst (line 12). Handling the request is done similarly to what is described in Fig. 1, Except the random share which is sent back to each swarm member consists of *s* secret components rather than 1 (lines 18–21).
- regainConsistencyReply: On regainConsistencyReply, executed in a safe place, the leader adds all the components of the received share into the set allSecretComponents (lines 25–27).
- joinRequest: An input message of type join\_rqst indicates a request by a new process with identifier srcid to join the swarm (line 28). Process i waits a random period of time, during which it listens to join replies of other swarm members. If the number of distinct secret components, sent by other swarm members as

join replies, is less than *s*, then it sends a secret component to the joining process. That secret component is randomly chosen out of the secret components in the secret share of process *i*.

- The join procedure is designed to restrict the shares that may be revealed by the passive adversary. For that, process *i* initializes an empty set *sentComponents* of secret components, which were sent by swarm members (line 29). While the number of sent secret components is less than s, process i does the following (line 30). It sets *waitingTime* with a random period of time, as specified in Section 3.2 (line 31). During that random period of time, process *i* listens to join replies sent by other processes, each reply includes a secret component. While listening, process *i* adds the sent components to its sentComponents set (lines 32-34). After the waitingTime has elapsed, if the number of distinct secret components, which were sent to the joining process srcid, is less than 1, then process i sends a join reply to process srcid. This reply message includes a secret component, randomly chosen out of the secret components in its share that are not in sent-Components (lines 35-37). It is done by calling the function getRandomComponent( $X_i$ [1...s],  $Y_i$ [1...s], sent-Components), which returns a randomly chosen component out of the share that does not appear in sentComponents.
- *joinReply*: On *joinReply*, process *i* receives an input message of type *join\_rply*, which contains a secret component. If the current size of its secret share is smaller than *s* (lines 39, 40), and the received secret component was not previously received by process *i* (line 43), then the received secret component is added to the share of process *i* (lines 44, 45).

## 3.2.2. Reconstructing the secret

When using t distinct secret components and share size of size s > 1, the probability  $pr_m$  to reconstruct the secret, given m shares, randomly chosen out of the n secret shares, is in fact, the probability that all the t secret components of gs are present in that set of m secret shares.

Clearly, for  $0 \le m < k$  it holds that  $pr_m = 0$ , since at least one secret component is missing. Whereas, for  $m \ge k$  it holds that  $pr_m = [1 - (1 - p)^m]^t$ , where p is the probability of a secret component to be chosen for a secret share. As the components are chosen with equal probability out of the t components of gs, it holds that  $p = \frac{s}{t} = \frac{1}{k}$ , assuming kdivides t. The probability that a certain secret component appears in one of the m secret shares is  $1 - (1 - p)^m$ . Hence, the probability that no component is missing is  $[1 - (1 - p)^m]^t$ . Therefore, the expected number m of required secret shares is a function of m, t and k.

## 3.2.3. Passive adversary

According to Shamir's (t,t)-threshold scheme, at least t distinct secret components are required to reveal the swarm's global secret gs. Here, each process has a secret share with s distinct secret components, where s = t/k. Therefore, compromising at most f < k processes ensures that at least one component is missing and therefore the polynomial p(x) cannot be calculated.

```
set_i((set, srcid, i, share))
1
2
       for j = 1..s do
3
               X_i[j] \longleftarrow getX(share, j)
4
               Y_i[j] \longleftarrow getY(share, j)
   step_i(\langle stp, srcid, i, op, \delta \rangle)
5
       if op == ADD
6
7
              for j = 1..s do
                    Y_i[j] \longleftarrow Y_i[j] + \delta
8
9
       else
10
              for j = 1..s do
                    Y_i[j] \longleftarrow Y_i[j] * \delta
11
12 regainConsistencyRequest<sub>i</sub>(\langle rgn_rqst, srcid, i \rangle)
13
       leaderId \leftarrow leaderElection()
14
       if leaderId = i then
              allSecretComponents_i \leftarrow listenAll(\langle rgn\_rply, i, j, share \rangle)
15
16
              if size(allSecretComponents_i) < t then
17
                    allSecretComponents_i \leftarrow setDefaultSecret()
               for every process id j in the swarm do:
18
19
                    new\_share \longleftarrow getRandomShare(allSecretComponents, s)
20
                    send(\langle set, i, j, new\_share \rangle)
21
               \langle X_i[1..s], Y_i[1..s] \rangle \longleftarrow getRandomShare(allSecretComponents, s)
22
               allSecretComponents_i \longleftarrow \emptyset
23
       else
24
              send(\langle rgn\_rply, i, leaderId, \langle X_i[1..s], Y_i[1..s] \rangle)
25 regainConsistencyReply<sub>i</sub>(\langle rgn_rply, srcid, i, share \rangle)
26
       if leaderId = i then
27
              allSecretComponents_i \leftarrow allSecretComponents_i \cup \{share\}
28 joinRequest<sub>i</sub>((join_rqst, srcid, i))
29
       sentComponents \longleftarrow \emptyset
30
       while |sentComponents| < s do
31
              waitingTime \leftarrow random([1..maxWaiting(n)])
               while waitingTime not elapsed do
32
33
                    listen(\langle join\_rply, i, pid, component \rangle)
34
                    sentComponents \leftarrow sentComponents \cup \{component\}
35
              if |sentComponents| < s then
36
                    random\_component \longleftarrow getRandomComponent(X_i[1..s], Y_i[1..s], sentComponents)
37
                    send((join\_rply, i, srcid, random_component))
38 joinReply_i((join_rply, srcid, i, component))
       size \longleftarrow size of(X_i)
39
       if size < s then
40
              x \longleftarrow getX(component)
41
42
               y \longleftarrow getY(component)
43
              if x \notin X_i[1..size] then
44
                    X_i[size + 1] \longleftarrow x
45
                    Y_i[size+1] \longleftarrow y
```

Fig. 2. Polynomial-based solution with multiple component share, program for swarm member i.

## 3.2.4. Active adversary and error correcting

Similarly to the case of a secret share of size 1, if *m* members were corrupted, then at most  $max\{m \cdot s, t\}$  components are corrupted. The Berlekamp-Welch decoder [16] can reconstruct p(x) as long as the number of errors *f* is less than  $(m \cdot s - k + 1)/2$  and  $m \cdot s < t$ .

## 4. Reactive *k*-secret sharing – the Chinese remainderbased scheme

Here, the representation of the global secret *gs* is based on the Chinese Remainder Theorem (CRT). Given a set of relatively prime numbers  $p_1 < p_2 < \cdots < p_k, N_k = \prod_{i=1}^{t} p_i$  and an integer *m* such that  $0 \le m < N_k$ , *m* is uniquely specified by its residues modulo  $p_1 < \cdots < p_k$ . If we use n > k relatively prime numbers  $p_1 < \cdots < p_k < p_{k+1} < \cdots < p_n$ , then *m* can be calculated out of any *k* pairs  $(p_i, r_i)$ , where  $r_i = m \mod p_i$ .

Therefore, the global secret *gs* can be represented by a set of *n* such pairs  $(p_i, r_i)$ , where  $gs < N_k$ . A secret component then, is a pair  $(p_i, r_i)$ , where  $r_i = gs \mod p_i$  and  $p_i \in P$ . A secret share is a set of distinct secret components, as in the polynomial-based scheme. We distribute the global secret *gs* amongst the *n* processes in a way that *k* or more members may reconstruct the secret with some probability, yet any fewer than *k* members fail to do so.

**Lemma 1.** Given a set  $P = \{p_1, p_2, ..., p_k, ..., p_n\}$  of n relatively prime numbers, and  $N_k = \prod_{i=1}^k p_i$ . According to the CRT, an integer  $0 \le m < N_k$  is uniquely defined by a set of distinct pairs  $(p_{i_1}, r_{i_1}), ..., (p_{i_k}, r_{i_k})$ , where  $r_{i_j} = m \mod p_{i_j}$  and  $p_{i_j} \in P$ . Then,  $(m + \delta) \mod N_k$  is uniquely defined by the set  $(p_{i_1}, (r_{i_1} + \delta) \mod p_{i_j}), ..., (p_{i_k}, (r_{i_k} + \delta) \mod p_{i_k})$ .

**Proof.** For every pair  $(p_i, r_i)$ , where  $r_i = m \mod p_i$ , by definition,  $\exists q: m = r_i + q \cdot p_i$ . Note that  $m, m + \delta < N_k$ . Therefore,  $m + \delta = r_i + \delta + q \cdot p_i$ . If  $r_i + \delta < p_i$ , then clearly  $m + \delta = (r_i + \delta) - \mod p_i$ . Otherwise, let  $r'_i = (r_i + \delta) \mod p_i$ . By definition,  $\exists q': r_i + \delta = r'_i + q' \cdot p_i$ . Therefore,  $m + \delta = r'_i + (q' + q) \cdot p_i \cdot m + \delta = r'_i \mod p_i = (r_i + \delta) \mod p_i$ .  $\Box$ 

**Lemma 2.** Given a set  $P = p_1, p_2, ..., p_k, ..., p_n$  of n relatively prime numbers, and  $N_k = \prod_{i=1}^k p_i$ . According to the CRT, an integer  $0 \le m < N_k$  is uniquely defined by a set of distinct pairs  $(p_{i_1}, r_{i_1}), ..., (p_{i_k}, r_{i_k})$ , where  $r_{i_j} = m \mod p_{i_j}$  and  $p_{i_j} \in P$ . Then,  $(m \cdot \delta) \mod N_k$  is uniquely defined by the set  $(p_{i_1}, (r_{i_1} \cdot \delta) \mod p_{i_j}), ..., (p_{i_k}, \epsilon_{\delta}) \mod p_{i_k})$ .

**Proof.** For every pair  $(p_i, r_i)$ , where  $r_i = m \mod p_i$ , by definition,  $\exists q: m = r_i + q \cdot p_i$ . Note that  $m, m \cdot \delta < N_k$ . Therefore,  $m \cdot \delta = r_i \cdot \delta + q \cdot \delta \cdot p_i$ . If  $r_i \cdot \delta < p_i$ , then clearly  $m \cdot \delta = r_i \cdot \delta \mod p_i$ . Otherwise, let  $r'_i = (r_i \cdot \delta) \mod p_i$ . By definition,  $\exists q': r_i \cdot \delta = r'_i + q' \cdot p_i$ . Therefore,  $m \cdot \delta = r'_i + (q' + q) \cdot p_i \cdot m \cdot \delta = r'_i \mod p_i = r_i \cdot \delta \mod p_i$ .  $\Box$ 

Note that if  $r_i = g \operatorname{smod} p_i$  for every  $p_i \in P$ , then  $r_i + \delta = (gs + \delta) \operatorname{mod} p_i$  for every  $p_i \in P$ . Therefore, adding  $\delta$  to gs can be done by adding  $\delta$  to each residue  $r_i$  modulo  $p_i$ . Similarly, multiplying gs by some  $\delta$ , is done by multiplying each residue  $r_i$  by  $\delta$  modulo  $p_i$ .

For example, let  $P = \{2,3,5,7\}$   $(p_1 = 2, p_2 = 3, p_3 = 5, p_4 = 7)$ , k = 3, n = 4 and gs = 0. Also,  $0 \le gs < N_k$ , where  $N_k = 2 \cdot 3 \cdot 5 = 30$ . Assume gs is initially zero. Hence, the CRT-representation of gs = 0, using P, is  $\langle (2,0), (3,0), (5,0), (7,0) \rangle$ . After incrementing the value of gs by  $\delta = 1$  it holds that the CRT-representation of gs = 1 is  $\langle (2,1), (3,1), (5,1), (7,1) \rangle$ . Incrementing gs by  $\delta = 5$  again, results in gs = 6, represented by  $\langle (2,0), (3,0), (5,1), (7,6) \rangle$ . Incrementing gs by  $\delta = 4$ , results in gs = 10, represented by  $\langle (2,0), (3,1), (5,0), (7,3) \rangle$ . Multiplying gs by 2 results in gs = 20 represented by  $\langle (2,0), (3,2), (5,0), (7,6) \rangle$ .

## 4.1. Chinese remainder-based scheme - secret share of size 1

Assume a set of *n* relatively prime numbers  $P = \{p_1, ..., p_k, ..., p_n\}$ , where  $p_1 < p_2 < ... < p_k < ... < p_n$ . The product  $\prod_{i=1}^k p_i$  is denoted by  $N_k$  and Oles  $gs < N_k$ . According to the CRT, any *k* pairs  $(p_i, r_i)$  where  $p_i \in P$  can reconstruct gs. Yet, any fewer than *k* pairs cannot reconstruct it. Therefore, in this scheme, each swarm member holds a secret share of one secret component  $(p_i, r_i)$ .

In this case, the implementation of the input actions is very similar to that of the polynomial-based scheme, Only here we use a secret component of the form  $(p_i, r_i)$ , where  $r_i = gs \mod p_i$ , rather than  $(x_i, y_i)$ , where  $y_i = p(x)$ . Also, this solution does not support arithmetic multiplication of gs.

The implementation is described in Fig. 3.

Reconstructing gs is similar to the polynomial-based scheme.

### 4.1.1. Passive adversary

Similarly to the polynomial-based scheme, at least k distinct secret components are required to reveal the swarm's global secret gs. Therefore, in any execution in which an adversary compromises at most f < k processes, it cannot reveal the secret. Moreover, we assume that there is a lower bound  $p_0 \notin P$  on the relatively prime numbers in P such that  $p_0 < p_1 < p_2 < \cdots < p_n$ . The use of n > k relatively prime numbers, where only k are required naturally yields an error correcting code [9]. An adversary which compromises at most f < k swarm members is missing at least one pair, denoted by  $(p_j, r_j)$ . Had it known the prime, the probability of the adversary to guess the secret was uniform over the values  $0, 1, \ldots, p_i - 1$ , which is bounded by  $\frac{1}{p_v}$ .

#### 4.1.2. Active (Byzantine) adversary and error correcting

In the presence of an active adversary, which has the ability to corrupt the state of at most f < k swarm members, we design a scheme that is robust to faults. The global secret  $gs < N_k$  is uniquely specified by its residue modulo  $p_1 < \cdots < p_k$ . Our scheme uses n - k redundant primes  $p_{k+1} < \cdots < p_n$  for representing gs. Note that on the presence of errors, the primes may also be faulty, but similar to the polynomial-based scheme, an error in a prime  $p_i$  may be regarded as an error in the residue  $r_i$ . The only problem is that the erroneous prime  $p_i$  may not be relatively prime with  $p_i \in P$ , where  $j \neq i$ . For that, we assume that in the presence of an active adversary the set  $P = \{p_1, p_2, \dots, p_n\}$ is a set of *n* prime numbers (in particular, a set of *n* relatively prime numbers). On regaining consistency, any pair  $(p_i, r_i)$  which is received by the leader is discarded if  $p_i$  is not prime. Under this assumption, we can update the regain-Consistency input action, so that the processes first agree on  $\mathcal{P}$  by a simple majority function. Then, any residue paired with a prime in P may be chosen. Then, they can use Mandelbaum's technique [13] in order to correct the errors and reconstruct gs. In this case, the number of Byzantine values or errors, modeled by f, is required to be less than the majority and less than (n - k)/2. By this technique, at most n - k errors can be detected and (n - k)/2can be corrected.

#### 4.2. Chinese remainder-based scheme – secret share of size >1

Motivated by the need to decrease the probability of a *critical state*, as described in Section 3.2, we design a scheme in which each secret share is a tuple of s secret components rather than a single component.

Here, we use a set  $P = \{p_1 < p_2 < \dots < p_t\}$  of t > k relatively prime numbers  $\{p_1 < p_2 < \dots < p_t\}$ . The global secret gs is bounded by  $N_t = \prod_{i=1}^t$  and a secret share is a tuple of s secret components (points), where s = t/k. In this solution we do not use n distinct components but rather t.

The implementation of the input actions is similar to the polynomial-based scheme described in Section 3.2, see Fig. 4. A secret share  $\langle (p_{i_1}, r_{i_1}), \ldots, (p_{i_s}, r_{i_s}) \rangle$  is represented by two arrays  $P_i[1 \ldots s]$  and  $R_i[1 \ldots s]$  of process *i*, where  $p_{i_i} = P_i[j]$  and  $r_{i_j} = R_i[j]$ .

 $\mathbf{set}_{i}(\langle \mathbf{set}, \mathbf{srcid}, \mathbf{i}, \mathbf{share} \rangle)$ 1  $p_i \longleftarrow getP(share, 1)$  $\mathbf{2}$  $r_i \longleftarrow getR(share, 1)$ 3  $\mathbf{step_i}(\langle \mathbf{stp}, \mathbf{srcid}, \mathbf{i}, \mathbf{op}, \delta \rangle)$ 4 if op == ADD5 $r_i \longleftarrow (r_i + \delta) \mod p_i$ 6  $\overline{7}$ else 8  $r_i \longleftarrow (r_i * \delta) \mod p_i$  $regainConsistencyRequest_i((rgn_rqst, srcid, i))$ 9  $leaderId \leftarrow leaderElection()$ 10 if leaderId = i then 11 12 $allSecretComponents_i \leftarrow listenAll(\langle rqn_rply, j, i, share \rangle)$ 13if  $size(allSecretComponents_i) < k$  then 14  $allSecretComponents_i \leftarrow setDefaultSecret()$ 15for every process id j in the swarm do: 16 $new\_share \longleftarrow getRandomShare(allSecretComponents, 1)$ 17 $send(\langle set, i, j, new\_share \rangle)$ 18 $\langle (p_i, r_i) \rangle \longleftarrow getRandomShare(allSecretComponents, 1)$ 19 $allSecretComponents_i \leftarrow \emptyset$ 20else send( $\langle rgn\_rply, i, leaderId, \langle (p_i, r_i) \rangle \rangle$ ) 2122 regainConsistencyReply<sub>i</sub>( $\langle rgn_rply, srcid, i, share \rangle$ ) 23if leaderId = i then 24 $allSecretComponents_i \leftarrow allSecretComponents_i \cup share$ 25 joinRequest;  $(\langle join_rqst, srcid, i \rangle)$ 26 $replyWasSent \longleftarrow false$ 27 $waitingTime \leftarrow random([1..maxWaiting(n)])$ 28while waitingTime not elapsed do 29 $replyWasSent \leftarrow$  listen( $\langle join\_rply, j, srcid, component \rangle$ ) 30 if replyWasSent = false then 31 $send(\langle join\_rply, i, srcid, (p_i, r_i) \rangle)$ 32 joinReply<sub>i</sub>( $\langle join_rply, srcid, i, component \rangle$ )  $p_i \longleftarrow getP(component)$ 33 34 $r_i \longleftarrow getR(compoent)$ 

Fig. 3. Chinese remainder-based solution with single component share, program for swarm member i.

## 4.2.1. Reconstructing the secret

As in the polynomial-based scheme, the probability  $pr_m$  that a random set of m random secret shares can reconstruct the secret gs is 0 for m < k and  $[1 - (1 - p)^m]^t$  for  $m \ge t$ .

## 4.2.2. Passive adversary

According to the CRT, at least *t* distinct secret components are required to reconstruct *gs*. Similarly to the polynomial-based scheme, if an adversary compromises at most f < k swarm members then it reveals at most (k - 1)s = (k - 1)t/k distinct secret components. Namely, at least one secret component is missing.

**Theorem 1.** In any execution in which the adversary captures at most f < k processes, the probability of the adversary guessing the global secret, i.e., guessing the value of gs, is bounded by  $\frac{1}{p_{min}}$ .

**Proof.** In any execution in which the adversary captures at most f < k processes, there is at least one missing secret component, say (p,r). Assume that the adversary knows the missing prime p. In this case, the adversary has p possible values  $\{0, 1, 2, ..., p - 1\}$  for r, out of which only one is the correct value. Therefore, in the case of knowing the missing prime p, the probability to reveal the secret is  $\frac{1}{p} < \frac{1}{p_{min}}$ .

Moreover, when the adversary does not know the value of *p*, the probability to guess the secret is even less than  $\frac{1}{p_{\min}}$ .  $\Box$ 

## 4.2.3. Active adversary and error correcting

We now turn to considering the case of an active (Byzantine) adversary, in which some errors take place, such as input not received by all swarm members. Similarly to the Chinese remainder-based scheme with a secret share of 1  $set_i((set, srcid, i, share))$  $\mathbf{2}$ for i = 1..s do  $P_i[j] \longleftarrow getP(share, j)$ 3  $R_i[j] \longleftarrow getR(share, j)$ 4 5 $step_i((stp, srcid, i, op, \delta))$ 6 if op == ADD7for j = 1..s do  $R_i[j] \longleftarrow (R_i[j] + \delta) \mod P_i[j]$ 8 9 else 10 for j = 1..s do  $R_i[j] \longleftarrow (R_i[j] * \delta) \mod P_i[j]$ 11 12 regainConsistencyRequest<sub>i</sub>( $\langle rgn_rqst, srcid, i \rangle$ )  $leaderId \leftarrow leaderElection()$ 13if leaderId = i then 14  $allSecretComponents_i \leftarrow listenAll(\langle rqn_rply, i, j, share \rangle)$ 1516if  $size(allSecretComponents_i) < t$  then 17 $allSecretComponents_i \leftarrow setDefaultSecret()$ 18for every process id j in the swarm do: 19 $new\_share \longleftarrow getRandomShare(allSecretComponents, s)$ 20 $send(\langle set, i, j, new\_share \rangle)$ 21 $\langle P_i[1..s], R_i[1..s] \rangle \longleftarrow getRandomShare(allSecretComponents, s)$ 22  $allSecretComponents_i \longleftarrow \emptyset$ 23else 24send( $\langle rqn\_rply, i, leaderId, \langle P_i[1..s], R_i[1..s] \rangle \rangle$ ) 25 regainConsistencyReply<sub>i</sub>( $\langle rgn_rply, srcid, i, share \rangle$ ) 26if leaderId = i then 27 $allSecretComponents_i \leftarrow allSecretComponents_i \cup \{share\}$ 28 joinRequest<sub>i</sub>( $(join_rqst, srcid, i)$ ) 29 $sentComponents \longleftarrow \emptyset$ 30 while |sentComponents| < s do 31 $waitingTime \leftarrow random([1..maxWaiting(n)])$ 32 while waitingTime not elapsed do 33  $listen(\langle join_rply, i, pid, component \rangle)$ 34  $sentComponents \leftarrow sentComponents \cup \{component\}$ 35if |sentComponents| < s then 36  $random_{c}omponentgetRandomComponent(P_{i}[1..s], R_{i}[1..s])$ 37  $send((join_rply, i, srcid, random_component))$ 38 joinReply<sub>i</sub>( $\langle join_rply, srcid, i, component \rangle$ ) 39  $size \longleftarrow size of(X_i)$ 40if size < s then  $x \longleftarrow getP(component)$ 41 $y \longleftarrow getR(component)$ 42if  $x \notin P_i[1..size]$  then 4344  $P_i[size+1] \leftarrow x$ 45 $R_i[size+1] \longleftarrow y$ 

Fig. 4. Chinese remainder-based solution with multiple component share. Program for swarm member i.

size 1, Mandelbaum's technique can be used to cope with errors.

## 5. Reactive *k*-secret sharing – Vandermonde matrixbased scheme

Here we consider a global secret *gs*, which is updated using bitwise-*xor* operations for the limited case in which t = k = n - 1. A random Vandermonde matrix  $M^{n \times k}$  of *n* rows and k = n - 1 columns is used to encode the global

secret gs, where  $0 \le gs < 2^k$ . The matrix M, known to all swarm members, is over a binary field and any k rows out of n are independent. Note that M can be created by using any  $k \times k$  matrix with linearly independent rows and an additional row that is thier sum. The global secret is, in fact, a binary vector gs of size k.

Given a binary vector v of m bits, let v[j] denote the jth bit of v, where j = 1, ..., m. Similarly, the jth row of a matrix M is denoted by the vector M[j]. A *secret component* is a pair  $(j, b_j)$ , where  $b_j$  is the *xor* of all the bits gs[l], where M[j][l] = 1 and M[j][l] denotes the *l*th bit in the jth row of M.

For example, let n = 4, k = 3 and gs = (101), i.e., the value of the global secret is 5.

Let  $M^{n \times k}$  be the following matrix, for which every three rows are linearly independent:

$$M = \begin{pmatrix} 1 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

Note that a row in *M* that include a single bit with value 1 reveals a bit of *gs*. Thus, we suggest to *xor* the secret with a random string and set the shares as shares of the resulting masked secret. Then, on regain consistency, when the swarm is in a safe land, the random string may be used again to reconstruct the secret. The pairs (1,1), (2,0), (3,0) and (4,1) are secret components of the global secret *gs*. The first row *M*[1] of *M* is a binary vector that defines which bits of the vector *gs* are *xor*ed to compute  $b_1$ . In this case, the first and second bits of *gs* are *xor*ed and the result is  $b_1 = 1$ .

Note that given a binary vector v of k bits, updating the global secret  $gs = gs \oplus v$  is done by updating each secret component  $(j, b_j)$  to be  $(j, b'_j)$ , where  $b'_j$  is the value  $b_j$  xored with all the bits v[l], where M[j][l] = 1.

For example, for the specified gs and *M*, let v = (010). The above secret components are updated to be the following:  $(1,b'_1) = (1,1\oplus 0\oplus 1) = (1,0), (2,b'_2) = (2,0\oplus 0\oplus 0) = (2,0), (3,b'_3) = (3,0\oplus 1) = (3,1)$  and  $(4,b'_4) = (4,1\oplus 0) = (4,1)$ .

Assume that each one of the *n* swarm members holds a distinct secret share, which contains a single secret component  $\langle (j, b_j) \rangle$ , as specified, where  $M^{n \times k}$  encodes the global secret *gs*, and  $0 \leq gs < 2^k$ .

The input actions are implemented as described in Fig. 5. A secret share with single secret component  $\langle (j, b_j) \rangle$  of process *i* is represented by an index  $row_i = j$  and a bit  $bit_i = b_j$ .

## 5.0.4. Reconstructing the secret

Assume the number of processes in the swarm initially (or immediately after a global reset) is *n*. As long as there are no members which join or leave the swarm, the members hold *n* distinct secret components. Any k = n - 1 or more components can reconstruct the secret, as they can create a  $k \times k$  matrix of *k* independent rows which uniquely encodes the binary vector *gs*. In this case, the probability  $pr_m$  to reconstruct the secret out of *m* secret components is 0 for m < k and 1 for  $m \ge k$ .

## 5.0.5. Passive adversary

At least k rows of M are required to reconstruct the binary vector gs, hence, at least k distinct secret components are required to reconstruct gs. Therefore, in any execution in which an adversary captures at most f < k processes, at least one component is missing and hence gs cannot be reconstructed.

#### 6. Virtual automaton

We would like the swarm members to implement a virtual automaton where the state is unknown. Thus, if at most f swarm members are compromised, the global state is not known and the swarm task is not revealed. In this section we present the scheme assuming possible errors, as the error free is a straightforward special case.

We assume that our automaton is modeled as an I/O automaton [12] and described as a five-tuple:

- An action signature sig(A), formally a partition of the set acts(A) of actions into three disjoint sets in(acts(A)), out(acts(A)) and int(acts(A)) of input actions, output actions, and internal actions. The set of local controlled actions is denoted by  $local(A) = out(A) \cup int(A)$ .
- A set *states*(A) of states.
- A non-empty set  $start(A) \subseteq states(A)$  of initial states.
- A transition relation  $steps(A) : states(A) \times acts(A) \rightarrow states(A)$ , where for every state  $s \in states(A)$  and an input action  $\pi$  there is a transition  $(s, \pi, s') \in steps(A)$ .
- An equivalence relation *part*(*A*) partitioning the set *local*(*A*) into at most a countable number of equivalence classes.

We assume that the swarm implements a given I/O automaton *A* as specified. The swarm's *global state* is the current state in the execution of *A* and is, in fact, The swarms actual global secret. A secret component is a state  $s \in states(A)$  and a secret share is simply a tuple of *m* states  $\langle s_{i_1}, s_{i_2}, \ldots, s_{i_m} \rangle$  of *m* distinct states, where  $s_{i_j} \in states(A)$  for all  $j = 1 \dots m$  and at most one of the *m* states is the swarm's global state. Formally, the swarm's global state is defined as the state which appears in at least threshold *T* out of *n* state, then the swarm's global state is a predefined default state.

The *output* of process *i* is a tuple  $out_i = \langle o_{i_1}, o_{i_2}, \ldots, o_{i_m} \rangle$  of *m* output actions, where  $o_{i_j} \in out(acts(A))$  for all  $j = 1, \ldots, m$ . The swarm's *global output* is defined to be the result of the output action which appears in at least threshold *T* out of *n* members' output.

We assume the existence of devices (sensors, for example) which receive the output of swarm members (maybe in the form of directed laser beams) and thus can be exposed to identify the swarm's global output by a threshold of the members outputs.

We assume an adversary which can compromise at most f < T processes between two successive global reset operations of the swarm's global state. We assume that the adversary knows the automaton A and the threshold T. Therefore, when compromising f processes, it can sample the state tuples of the compromised processes and assume that the most common state, i.e., appears the most frequently in the compromised state tuples, is most likely to be the global state of the swarm.

Consider the case in which f = 1 and  $T = \lfloor n/2 + 1 \rfloor$ . The secret share of process *i* is denoted by *state\_tuple<sub>i</sub>*. If the  $|state_tuple_i| = 1$ , i.e., the secret share includes a single state, then an adversary which compromises process *i* 

1  $set_i((set, srcid, i, share))$ 2 $row_i \leftarrow getRow(share, 1)$ 3  $b_i \leftarrow qetBit(share, 1)$ 4  $step_i((stp, srcid, i, v))$  $b_i \leftarrow b_i \oplus v[j_1] \oplus \ldots \oplus v[j_l]$ , where  $M[row_i][j_1] = \ldots = M[row_i][j_l] = 1$ 56  $regainConsistencyRequest_i(\langle rgn_rqst, srcid, i \rangle)$  $leaderId \leftarrow leaderElection()$  $\overline{7}$ 8 if leaderId = i then  $allSecretComponents_i \leftarrow listenAll(\langle rgn\_rply, j, i, share \rangle)$ 9 10 if  $size(allSecretComponents_i) < k$  then 11  $allSecretComponents_i \leftarrow setDefaultSecret()$ for every process id j in the swarm do: 12 13 $new\_share \longleftarrow getRandomShare(allSecretComponents, 1)$  $send(\langle set, i, j, new\_share \rangle)$ 1415  $\langle (row_i, b_i) \rangle \longleftarrow qetRandomShare(allSecretComponents, 1)$ 16 $allSecretComponents_i \leftarrow \emptyset$ 17else 18send( $\langle rgn_rply, i, leaderId, \langle (row_i, b_i) \rangle \rangle$ ) 19 regainConsistencyReply<sub>i</sub>( $\langle rgn_rply, srcid, i, share \rangle$ ) 20if leaderId = i then 21 $allSecretComponents_i \leftarrow allSecretComponents_i \cup share$ 22 joinRequest<sub>i</sub>( $(join_rqst, srcid, i)$ ) 23 $sentComponents \longleftarrow \emptyset$ 24while |sentComponents| < 1 do 25 $waitingTime \leftarrow random([1..maxWaiting(n)])$ 26while waitingTime not elapsed do 27 $listen(\langle join\_rply, j, srcid, component \rangle)$ 28 $sentComponents \leftarrow sentComponents \cup \{component\}$ 29 if |sentComponents| < 1 then  $send(\langle join\_rply, i, srcid, \langle (row_i, b_i) \rangle \rangle)$ 30 31 joinReply<sub>i</sub>( $\langle join_rply, srcid, i, component \rangle$ ) 32  $row_i \longleftarrow getRow(component)$ 33  $b_i \longleftarrow getBit(component)$ 

Fig. 5. Vandermonde matrix-based solution with single component share. Program for swarm member i.

knows the secret share *state\_tuple*<sub>*i*</sub> =  $\langle s_{i_1} \rangle$ . The probability that  $s_{i_1}$  is the swarm's global state is at least  $\frac{T}{n}$  and since T is a lower bound, the probability may reach 1 when all shares are identical. If  $|state_tuple_i| = 2$ , then an adversary which compromises process *i*, reveals the secret share *state\_tuple*<sub>*i*</sub> =  $\langle s_{i_1}, s_{i_2} \rangle$ . The probability that either one of the states  $s_{i_1}$  or  $s_{i_2}$  is the swarm's global state is at least  $\frac{T}{n}$ . Since there is no information on which of the two states is most likely to be the swarm's global state, the only option for an adversary is to arbitrarily choose one of the two states with equal probability. Therefore, the probability of revealing the swarm's global state is at least  $\frac{T}{2n}$  and at most  $\frac{T}{n}$  in that case. Generally, if  $|state\_tuple_i| = m$ , then the probability of revealing the swarm's global state is at least  $\frac{T}{m \cdot n}$ , and at most  $\frac{T}{(m-1) \cdot n}$  for f = 1. As the number of states in state\_tuple<sub>i</sub> increases, the probability to reveal the swarm's global state decreases.

The input actions are implemented as follows:

set ((s<sub>i1</sub>,...,s<sub>im</sub>)): Sets the secret share state\_tuple<sub>i</sub> with the given share (tuple). The tuples are distributed in a way that at least T + f + n<sub>lp</sub> of them contain the swarm's

global state immediately after a global reset of the secret or a regain consistency execution. Thus, even if f shares are corrupted and  $n_{lp}$  processes have left the swarm, the swarm threshold is respected. Moreover, in order to ensure the uniqueness of the global state in the presence of corruptions and joins, any other state should have fewer than T - f replicas.

- $step(\delta)$ : Simulates a step of the automaton for each of the states in the secret share. By the end of the simulation, each process has an updated output tuple. Here,  $\delta$  is any possible input of the simulated automaton. Note that it is possible that transition reduces the number of distinct states in the tuple, in such a case, the process replaces copies of a state that already exists by a distinct state that is randomly chosen out of the states not in  $state\_tuple_i$ .
- regain consistency: Ensures that there are at least  $T + f + n_{ip}$  members *i*, where state\_tuple<sub>i</sub> includes the swarm's global state and any other state has fewer than T f replicas.
- join: A process joins the swarm and constructs its secret share by collecting states from other processes. These

shares are randomly chosen out of the secret shares of these processes.

Note that the scheme benefits from smooth joins, since the number *f* that includes the join operations is taken into consideration while calculating the swarm's global state upon regain consistency operation. That is, a threshold of *T* is required for a state in order to be the swarm's global state. Therefore, in case swarm members maintain the population of the swarm (updated by joins, leaves and possibly by periodic heart beats) a join may be simply done by sending a join request message, specifying the identifier of the joining process. However, the consistency of the swarm will definitely benefit if shares are uniformly chosen for the newcomers. In this way, if the adversary was not listening during the join procedure, there is high probability that the joining processes will assist in encoding the current secret.

The code in Fig. 6 describes input actions of process *i*, when a secret share is a tuple of *m* distinct states in *state*-*s*(*A*) and at most one state is the swarm's global state. A secret share  $\langle s_{i_1}, s_{i_2}, \ldots, s_{i_m} \rangle$  of process *i* is denoted by an array *state\_tuple*<sub>i</sub>[1...*m*], where *state\_tuple*<sub>i</sub>[*j*] matches *s*<sub>i\_j</sub> for all *j* = 1...*m*. Similarly, an output tuple  $\langle o_{i_1}, o_{i_2}, \ldots, o_{i_m} \rangle$  of process *i* is represented by an array *output*<sub>i</sub>[1...*m*], where *output*<sub>i</sub>[*j*] matches *o*<sub>i</sub>, for all *j* = 1...*m*.

## 6.1. Code description

• set: On input action set, process i receives a message of type set and a secret share of m distinct states in

```
set_i((set, srcid, i, share))
1
2
       for j = 1..m do
3
              state\_tuple_i[j] \longleftarrow getState(share, j)
  step_i(\langle stp, srcid, i, \delta \rangle)
4
5
       for j = 1..m do
              (state_i[j], output_i[j]) \leftarrow follow the transaction in steps(A) for state\_tuple_i[j] and \delta
6
7
       if exists j_1 \neq j_2 such that states_i[j_1] = states_i[j_2]
8
              state\_tuple_i[j_2] \longleftarrow getRandomState(states(A) \setminus states_i)
9
       executeOutputActions(output_acts)
10 regainConsistencyRequest<sub>i</sub>(\langle rgn_rqst, srcid, i \rangle)
       leaderId \leftarrow leaderElection()
11
12
       if leaderId = i then
13
              allStateTuples \leftarrow listenAll(\langle rgn_rply, i, j, share \rangle)
14
              candidates \leftarrow mostPopularStates(allStateTuples)
              if |candidates| == 1 then
15
16
                   globalState \leftarrow first(candidates)
17
              else
18
                   globalState \longleftarrow setDefaultState
19
              distributeStateTuples(globalState)
20
              allStateTuples \longleftarrow \emptyset
21
              delete candidates
22
       else
23
              send(\langle rgn\_rply, i, leaderId, state\_tuple_i, \rangle)
24 regainConsistencyReply<sub>i</sub>(\langle rgn_rply, srcid, i, share \rangle)
25
       if leaderId = i then
              allSecretComponents_i \leftarrow allSecretComponents_i \cup \{share\}
26
27 joinRequest_i((join_rqst, srcid, i))
28
       sentComponents \longleftarrow \emptyset
29
       while |sentComponents| < m do
30
              waitingTime \leftarrow random([1..maxWaiting(n)])
31
              while waitingTime not elapsed do
32
                   listen(\langle join\_rply, i, pid, component \rangle)
33
                    sentComponents \leftarrow sentComponents \cup \{component\}
34
              if |sentComponents| < m then
35
                    random\_component \longleftarrow getRandomComponent(state\_tuple_i, sentComponents)
36
                   send(\langle join\_rply, i, srcid, random_component \rangle)
37 joinReply<sub>i</sub>(\langle join\_rply, srcid, i, component \rangle)
38
       size \longleftarrow state\_tuple_i
39
       if size < m then
40
              s \longleftarrow getState(component)
41
              if s \notin state\_tuple_i then
42
                    state\_tuple_i[size + 1] \longleftarrow s
```

*states*(*A*) (line 1). It then sets its share *state\_tuple*<sub>i</sub> with the received share, using the function *getState*(*share*,*j*) which returns the *j*th state in the given share (lines 2, 3).

- *step*: On input action *step*, process *i* receives a message of type *stp* and  $\delta$ , which is an input parameter for the I/O automaton (line 4). For every state *state\_tuple*<sub>i</sub>[*j*], process *i* simulates the automaton *A* by executing a single transaction on *state\_tuple*<sub>i</sub>[*j*] and the input  $\delta$  (lines 5, 6). As a result, the state *state\_tuple*<sub>i</sub>[*j*] and the output *output*<sub>i</sub>[*j*] are updated with the new state and output action according to the executed transition. If there exists  $j_1 \neq j_2$ , where *state\_tuple*<sub>i</sub>[*j*] and *state\_tuple*<sub>i</sub>[*j*] were updated with the same state, say *s*. Then, *state\_tuple*<sub>i</sub>[*j*] is set with a random state, not in *state\_tuple*<sub>i</sub>(*j*] (lines 7, 8). Finally, process *i* executes the output actions in *output*<sub>i</sub> (line 9).
- regainConsistencyRequest: On input action regainConsistency the processes are assumed to be in a safe land with no threat of any adversary. Process *i* receives a message of type rgn\_rqst from process identified by srcid (line 10). The method leaderElection() returns the process identifier of the elected leader (line 11). If process *i* is the leader, then it should distribute state tuples using set input actions in a way that at least T + f swarm members have tuples that include the global state and all other states appear no more than T times. Possibly by randomly choosing shares to members, such that the probability for assigning the global state share to a process is equal to, or slightly greater than, (T/n) + (f/n)n) while the probability of any other state to be assigned to a process is the same (smaller) probability. First, the leader collects secret components states in states (A) by listening to join replies of other swarm members (line 13). It then, executes the methodmost-PopularStates() in order to find the candidates to be the swarm's global state (line 14). If there is a single candidate (line 15), then it is the global state and globalState is set with the first (and only) state in candidates (line 16). In case there is more than one candidate (line 17), the leader sets globalState with a predefined default global state (line 18). The leader then distributes the state tuples (line 19) and deletes both the collected tuples allStateTuples and the candidates for the global state candidates (lines 20, 21). If process i is not the leader, then it sends its secret share *state\_tuple*<sub>i</sub> to the leader (lines 22, 23).
- regainConsistencyReply: On input action regainConsistencyReply the processes are also assumed to be in a safe land. Process *i* receives a message of type rgn\_rply, which is a part of the regain consistency procedure. The message includes the identifier srcid of the sender and the sender's state tuple (line 24). If process *i* is the leader, then it adds the received tuple to the set all-StateTuples<sub>i</sub> of already received tuples (lines 25, 26). Otherwise, it ignores the message.
- *joinRequest*: On input action, *joinRequest* process *i* receives a message of type *join\_rqst* from a process identified by *srcid*, which is asking to join the swarm (line 27). This operation is done much like the polynomial-based solution described in Fig. 6.

*joinReply*: On input action *joinReply* process *i* receives a message of type *join\_rply* from the process identified by *srcid* (line 37). Similarly to the polynomial-based solution, it collects *m* distinct secret components to compose a secret share.

#### 7. Conclusions

We have presented four schemes for reactive *k*-secret sharing that require no internal communication to perform a transition.

The first three solutions maybe combined as part of the reactive automaton to define a share of the state, for example to enable an output of the automaton whenever a share value of the secret is prime. Thus the operator of the swarm may control the output of each process by manipulating the secret value, e.g., making sure that secret shares are never prime, until a sufficient number and combination of events occurs. And last, the similarity in usage of Mandelbaum and Berlekamp-Welch techniques may call for arithmetic generalization of the concepts.

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